A new sensorless speed control structure for PMSM using reference model

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Abstract. In the paper a new sensorless control structure for the PMSM drive is presented. Such a structure is especially recommended for speed in the range of single revolutions per second (excluding standstill). The method uses a back EMF observer for position estimation. However, there is no need to estimate the speed. This is a big advantage because of possible irregularity of estimated back EMF in this speed range, which makes the calculation of speed difficult or impossible. The proposed structure is similar to the model following control, but the reference model attempts to track the motor operating point. The main idea is to utilize as a reference a model of the whole drive, including speed and current controllers and motor model. Such a model produces reference voltage for the real inverter. However, an extra unit – called rotator – is needed to provide precise control of direct axis current, which is sensitive to the improper position of the voltage vector. The rotator acts as a kind of compensator for error of position estimation.

Key words: permanent magnet motors, sensorless control, observers, variable speed drives.

1. Introduction

The high power density, high torque to inertia ratio and small torque ripple are the advantages of the permanent magnet synchronous motor (PMSM). To achieve good dynamics, especially during transients, in the case of rapid reference speed changes and load changes, a vector control should be used. Shaft position information is required to enable the effective vector control of a PMSM drive. In a typical realization of the control structure, the sensorless operation of a speed control for a PMSM drive may be achieved simply by eliminating the position sensor and by the use of a position estimator instead. This approach works well for medium and high speed ranges [1–6], even in the case of more complex drives, e.g., for a motor and inverter connection via motor choke [7, 8] or sinusoidal filter [9, 10]. Another situation is in the case of a low speed range [11]. In such a case of operation, in many applications there is a need to introduce a special control algorithm [12, 13], as well as the use of a sensorless control. It is assumed that position estimation based on the back electromotive force (EMF) does not have sufficient accuracy at lower speed range [14, 15]. In such case other methods are used, e.g., using injection of additional test signals [16, 17]. For speed in the range several revolutions per second, the calculation of speed directly from estimated position value is not so trivial because of the possibility of excitation oscillations caused by the speed estimator. This happens due to the non–sinusoidal shape of the estimated back EMF, which has a major impact on the stable sensorless performance of the drive at this speed range. However, the position calculation based on the back EMF estimation may work correctly even in such speed range. This is confirmed by experimentally obtained waveforms shown in Fig. 1 and Fig. 2. This is the idle speed, using a constant value of reference current on q axis. These waveforms were obtained for a traditional field oriented control (FOC) method of the PMSM (using a similar structure as is shown in Fig. 4), with a cascade control structure using a closed speed control loop (which was disconnected for the test) with inner current control loops containing separate controllers on d and q axes, rotating synchronously with the rotor. The overall structure of control utilizes the sine and cosine of the estimated position and calculated speed value instead of measured values. To obtain smooth output values from the observer, the reference voltage is used instead of measured ones. This simplification gives good results.

Fig. 1. Typical control structure, sensor mode: constant reference current $i_q = 0.35\,\text{A}$, up: estimated back EMF $\hat{E}_\beta$, middle: measured speed (average value $6\text{rad/s}$), down: measured current $i_q$.
There are many structures known in the area of motion control, which use a reference value source. Some are used typically in sensor mode to improve performance and some may be used as an estimator. The most similar structure to the one presented in the paper – principally by the basic idea – is the MFC (Model Following Control) [18] and its modifications, as an adaptive MFC (AMFC) [19]. The main comparison of the proposed control system and the MFC is described in the next section. The other structures, MRAS (Model Reference Adaptive System) [20] and MRAC (Model Reference Adaptive Control) [21], and the IMC (Internal Model Control) [22, 23] are used mainly in order to estimate the unknown component of the state vector.

3. The new control structure

In short, in a typical MFC structure, the main controller generates a control signal for the object and its model. The additional controller, fed by a difference signal between the object output and model output, generates an additional control signal, which is added into object input. Hence, if a tracking error occurs, an additional control signal is generated, whose task is to decrease the tracking error rapidly – and the object must follow the model.

In the presented control structure, the object (PMSM) follows the reference model. However, if a tracking error occurs, a reference model tries to fit to the object operating point, due to the influence from the estimated load torque and the velocity correction signals in the reference model. For example, in the case of a step change in the motor load, the motor begins to slow down, and the motor model in the reference begins to slow down also, because of the load estimator, which influences the drive model. Thus, the impact of distortion is eliminated by internal controllers in the drive model. The control structure consists of the following elements:

- adder for correcting the reference speed (Fig. 3: unit 1)
- reference model of the drive (Fig. 3: unit 2)
- factor $k$ affects the dynamics of the rotator operation (Fig. 3: unit 3)
- rotator (Fig. 3: unit 4)
- transformation block $a\beta \rightarrow abc$ (Fig. 3: unit 5)
- inverter (Fig. 3: unit 6)
- transformer (Fig. 3: unit 7)
- currents measurement (Fig. 3: unit 8)
- PMSM (Fig. 3: unit 9)
- transformation block $abc \rightarrow a\beta$ (Fig. 3: unit 10)
- transformation block $a\beta \rightarrow dq$ (Fig. 3: unit 11)
- position observer (Fig. 3: unit 12)
- current $i_q$ corrector (Fig. 3: unit 13)
- adder for correcting the angle of reference voltage vector (Fig. 3: unit 14)
- load estimator (Fig. 3: unit 15)
- adder for the load estimator (Fig. 3: unit 16).

Advantages of this sensorless structure are particularly visible in the low speed range. The system operates in the following manner: the reference model of the drive generates the reference voltage $u_{a-ref}$, $u_{p-ref}$ and the reference currents $i_{d-ref}$ and $i_{q-ref}$ based on the corrected speed reference signal $\omega_{ref2}$ and the estimated value of load torque $T_L$. Reference voltages...
are used to prepare the supply voltage vector and the reference currents (which means the “measured” currents in the drive model) are used to increase the accuracy of the control. The position observer estimates the sine and cosine of the shaft position, which is used in a coordinate system transformation block.

One of the most important units is the rotator. It rotates the reference voltage vector by angle $\Delta \Theta$, which is generated by the current $i_d$ corrector. The input voltage vector and the output voltage vector may rotate at a different angular speed. This is a normal phenomena due to the limited accuracy of the model and the limited accuracy of the position estimation. The difference in the speed is the result of variation in time of the $\Delta \Theta$ value. The current $i_d$ corrector produces the $\Delta \Theta$ angle used in the rotator. It compares the current $i_{d-ref}$, which is generated by the reference model, and the current $i_d$, which is calculated on the basis of measured currents and estimated position. If a difference occurs, the corrector changes the $\Delta \Theta$ angle to decrease the error.

The next important unit, the load estimator, helps the reference model of the drive to fit to the (real) motor operating point in the case of a load torque change. Its operation is based on comparing the torque currents $i_q$ from the reference model and the calculated value based on measured currents, which are converted using a position estimated in the observer. In addition, a correcting signal of speed $\Delta \omega_{ref}$ is introduced in the summing junction of the referenced speed (Fig. 3: unit 1), which increases speed control accuracy in the case of the presence of a load torque. It is important to notice that this is not the estimated speed, but the correction value. The practical advantage of this control structure is that there is no need to estimate the speed. However, it is still the speed vector control structure. This means, that it gives the possibility to control the speed quickly and adequately, even in cases of rapid changes of load or reference speed.

3.1. Reference model. As a reference model of a drive with PMSM, as simple as the possible model may be used. However, the complexity of the model affects the ability to accurately track the model by the real system. The model used in the proposed control system is a typical vector control structure of a PMSM drive. The only difference is the lack of an inverter model, in order to simplify the drive model. The presented control system uses the traditional field oriented control method for the PMSM (Fig. 4) as a reference source, with cascade control structure, using a closed speed control loop ($R_w$ – speed controller) with inner current control loops containing separate controllers on $d$ axis ($R_{id}$ block) and $q$ axis ($R_{iq}$ block) rotating synchronously with the rotor. All controllers have a PI structure with limited output. The following names of inputs and outputs of Fig. 4, correspond to the names of inputs and outputs of unit 2 in Fig. 3: the “wref2” input (Fig. 4) acts as $\omega_{ref2}$ input (Fig. 3), the “TL/” input (Fig. 4) acts as $I_L$ (estimated
3.2. Position observer. In order to estimate the shaft position, in the presented control structure a Luenberger observer is used [25]. However, the type of observer is not important in the context of the control system as a whole. There is a possibility to use an observer which estimates the position using any method: using signal injections or the observers, e.g., based on back EMF estimation, using Luenberger and modified Luenberger observers [26], based on various realizations of Kalman filter [27–30], using sliding mode observers [31–33] and artificial neural networks [34]. As mentioned above, the control system utilizes the Luenberger observer. The observer estimates the back EMFs in a stator based coordinate system αβ and calculates sine and cosine of the rotor shaft position. The proportional form of the correction function of the observer is enough in the case of the low speed range. In a typical realization, assuming that the derivative of disturbances is equal to zero, one can write the observer equations as:

\[
\frac{d\hat{i}_\alpha}{dt} = -\frac{R_s}{L_s} \cdot i_\alpha - \frac{1}{L_s} \cdot \hat{e}_\alpha + \frac{1}{L_s} \cdot u_\alpha + K_{i\alpha}(i_\alpha - \hat{i}_\alpha),
\]

\[
\frac{d\hat{i}_\beta}{dt} = -\frac{R_s}{L_s} \cdot \hat{i}_\beta - \frac{1}{L_s} \cdot \hat{e}_\beta + \frac{1}{L_s} \cdot u_\beta + K_{i\beta}(\hat{i}_\beta - i_\beta),
\]

\[
\frac{d\hat{e}_\alpha}{dt} = K_{\alpha\alpha}(i_\alpha - \hat{i}_\alpha),
\]

\[
\frac{d\hat{e}_\beta}{dt} = K_{\beta\beta}(\hat{i}_\beta - i_\beta),
\]

where \(u_\alpha, u_\beta\) mean the components of input voltage (in the presented system, a reference voltage obtained from the current controller outputs is used instead); \(i_\alpha, i_\beta, \hat{i}_\alpha, \hat{i}_\beta\) are the components of (estimated and measured) currents; \(\hat{e}_\alpha\) and \(\hat{e}_\beta\) mean estimated back EMF in the \(\alpha\beta\) axis respectively. The position is calculated from estimated values of the back EMFs using simplified relationship (11, 12):

\[
\sin(\hat{\Theta}) = \frac{\hat{e}_\alpha}{|\hat{e}|},
\]

\[
\cos(\hat{\Theta}) = \frac{\hat{e}_\beta}{|\hat{e}|}.
\]

3.3. Rotator and current \(i_d\) corrector. A new type of control path used in the speed control system is the rotator group. It consists of a block named “rotator” and its controller. This controller, or rather the corrective device, modifies the reference voltage vector angle in regard to the notified current error in \(d\) axis: \(i_{d-ref} - i_d\) (Fig. 3). A rotator turns the reference voltage vector...
\{u_{\alpha-ref}, u_{\beta-ref}\}$ into the exact position \{u_{\alpha-ref2}, u_{\beta-ref2}\}, relative to the shaft position. As an accurate position in a constant torque region the position is assumed for which the $d$ axis current error is the smallest. The new reference voltage vector, whose position is corrected by angle $\Delta \Theta$ (generated by the current $i_d$ corrector), is calculated using formulas:

$$
\begin{align*}
    u_{\alpha-ref2} &= u_{\alpha-ref} \cdot \cos(\Delta \Theta) - u_{\beta-ref} \cdot \sin(\Delta \Theta) \\
    u_{\beta-ref2} &= u_{\alpha-ref} \cdot \sin(\Delta \Theta) - u_{\beta-ref} \cdot \cos(\Delta \Theta)
\end{align*}
$$

(13)

The current $i_d$ corrector is an integral type controller, with a special kind of limit – where its output is limited to the range $0 \div 2\pi$, using modulo of $2\pi$. This controller output signal may change the value by twisting its value at boundaries $0 \varpropto 2\pi$. In the ideal case, at a steady state, after finding the proper $\Delta \Theta$, this value stops changing. In a real case, at a steady state, there is always a small difference $i_{d-ref} - i_d$, which is still integrated. The effect shows that the input voltage vector and the output voltage vector may rotate with different angular speeds. The sign and value of this speed difference is influenced by the reference model accuracy and the position estimation accuracy. In the case of weak position estimation, an additional adjustment for $i_d$ corrector is necessary. The simplest method of such adjustment is to link the correction value with the current on $q$ axis by constant factor $k_2$ (Fig. 5). However, in the wider range of operation, especially in the case of weak position estimation accuracy, or in the case of estimation of motor parameters with low accuracy, a gain $k_2$ should be converted into a function of the operating point, e.g., $k_2 = f(\omega_{\text{ref}}, T_\text{L})$.

3.4. Load estimator. Looking at the structure of a MFC, one can see that the process (PMSM) interacts with the main controller (the reference source) by the correcting reference speed (additional speed feedback from the unit 3, Fig. 3) and by the estimated load torque, which affects the drive model. These two signals force a change of the operating point of the reference model due to load torque appearance. The load torque estimator is implemented as a PI structure. Its input signal is calculated as the difference between the referenced and estimated current: $i_{q-ref} - i_q$. If this difference occurs, it means that there is a difference in torque values between the model and the real motor.

Therefore, the torque of the motor model is modified so that the operating point of the model comes close to the operating point of the real motor. Presently, the parameters of the load estimator are determined experimentally. This is safe to implement because if the load estimator is too slow, it does not cause instability, but only affects the steady state speed error in the case of a loaded motor (Fig. 8).

4. Simulations

This control structure has the possibility to work properly with or without the load estimator, and with or without the reference speed correction (the combination of “activated correction speed” and “deactivated load torque estimation” is not recommended without additional correction of parameters for units 3 and 13). However, the lack of these correction loops affects the drive dynamics or can cause the presence of steady state speed error under load, as is presented in Figs. 6–8. The simulations were made using Matlab Simulink environment. The motor model (as a reference and “real” motor also) and observer
is prepared using previously presented equations. The number of pole pairs is equal 1. Resistance of the “real” motor is 50% greater than the resistance of the motor model, and inductance of the “real” motor is 5% smaller than the inductance of the motor model. The following simulations were carried out: step change of the reference speed \( 0 \rightarrow 4 \frac{\text{rad}}{\text{s}} \), then in a time of 0.1s the step change of the load to the nominal value, and in a time of 0.25s the load was removed. Figure 6 presents the operation in the case where all the correction loops are working. The measured speed achieved the referenced speed in all cases of load conditions. Figure 7 presents the operation in the case where the correction value of the reference speed is not connected. The referenced speed is not achieved in the case of load conditions. Figure 8 presents the operation in the case where all the correction loops are not connected. It is visible that even in such conditions the drive has the possibility to operate. However, the lowest speed for proper operation in all load conditions, on a laboratory stand, is near \( 4 \frac{\text{rad}}{\text{s}} \). In the case of turning-off only the estimator of the load torque, the drive continues to operate. However, in the case of load condition, the speed oscillates. Figure 9 presents the “measured” current waveforms for testing conditions, as does Fig. 6. It can be concluded that the waveforms in Fig. 6 and Fig. 9 are comparable to experimental waveforms in Fig. 13. Another comparison involves the drive performance in the case of different accuracies of the position estimator. The accuracy level is visible as a different value of the time delay between waveforms in Fig. 10(up) and Fig. 11(up). In the first case, position error is about 7.5°, in the second case it is about 0.6° (the waveforms of sine and cosine are overlapped). At the time 0.4 s, a step change of load torque was introduced. It can be seen that the error value strongly affects the \( d \) axis current – Fig. 10(middle), Fig. 11(middle). In the case of weak position estimation accuracy, a stronger correction is necessary (it is visible as a bigger difference between estimated and measured value of \( d \) axis current). However, it is noticeable that the position estimation error has a weak influence on \( q \) axis current – Fig. 10(down), Fig. 11(down).

5. Experimental investigations

The control structure was tested on a laboratory stand involving 1.23 kW, 3000 rpm PMSM supplied by a laboratory inverter and a second similar PMSM, working as a controlled load source, supplied by an industrial AC drive. The number of pole pairs is equal 3. The first inverter is fed using a small transformer. It was used to limit the supply voltage at the first stage of the test of the control algorithms. The voltage was reduced to 34 V. The tested drive was controlled by means of a DSP and FPGA based system. The main control system is based on the floating point DSP from SHARC family. The resolver is used only for comparison purposes. The laboratory inverter’s carrier frequency was equal to 10 kHz. The observer as well as control algorithm were implemented on a DSP processor system. All these programs were executed every 100 μs. The measurement algorithms were implemented on an addi-

![Fig. 9. Simulation, measured current \( i_q \) and measured current \( i_d \), under conditions corresponding to these in Fig. 6](image)

![Fig. 10. Simulation, set of waveforms in a case of weak accuracy the position estimation, up: sine and cosine of the shaft position – measured and estimated, middle: \( d \) axis currents – reference (from model), estimated and measured, down: \( q \) axis currents – reference (from model), estimated and measured](image)

![Fig. 11. Simulation, set of waveforms in a case of high accuracy the position estimation, up: sine and cosine of the shaft position – measured and estimated, middle: \( d \) axis currents – reference (from model), estimated and measured, down: \( q \) axis currents – reference (from model), estimated and measured](image)
tional FPGA evaluation board. The main control device was a DSP evaluation board connected to a FPGA board in the form of a sandwich. It was directly connected to the control inputs of a laboratory inverter.

Various tests were carried out to confirm the correct operation of the system. Selected waveforms are shown in Figs. 12 and 13. All waveforms were obtained in sensorless mode, where sine and cosine of the estimated position $\Theta$ were used in the control chain. All test results were obtained for low speed range, where speed value was in the range of single revolutions per second. If the motor operates at this operating point, it starts to cover an additional area of the nonlinear phenomena; non-linearity strongly implicates the drive performance, which is visible even on the sensor mode of operation (Fig. 1), where for a fixed value of the $i_q$ current, the resulting speed is not smooth. Obtaining smooth velocity waveforms for this operating point is, however, a separate research task.

Figure 12 presents waveforms in steady state operation, for a system working without load compensation – the system operates directly as is shown in Fig. 3 – and waveforms for an improved structure (Fig. 5), with load compensation. It is visible that the drive works stably in both versions; however, this simple modification significantly reduces ripple of the measured speed and current $i_d$ as well as the value of the constant component of current $i_q$. In addition, the accidentally recorded disturbances (noise in measured speed waveforms – Fig. 12), affect the speed sensor; however, the position estimation procedure is not affected. The next test confirms the proper operation during a step change of the motor load (Fig. 13) at constant reference speed $\omega_{ref} = 5 \text{ rad/s}$. It can be seen that neither the load switching nor the load value changes the waveform quality. The drive operates stably, regardless of the load. It is confirmed by the lack of disturbance in the measured speed waveform and moreover in the low value of current $i_d$, whose value depends on the position estimation accuracy. There are no significant changes in the motor speed quality just as there are no excessive oscillations in the current $i_q$. A zero reference value of the current $i_q$ is maintained at all times with sufficient accuracy, even in dynamic states.

Fig. 12. Experimental investigations, sensorless mode, motor operation with and without load compensation, $\omega_{ref} = 5 \text{ rad/s}$, up: measured speed without load compensation, middle: measured speed with load compensation, down: measured currents $i_d$ (up: without load compensation, down: with load compensation)

6. Conclusions

This control structure was developed to handle the sensorless control, especially in the low speed range. Elimination of the speed calculation, which is based on the estimated back EMF or estimated position, is a big advantage of this control structure. In the case of possible irregularity of estimated back EMF in this speed range, a fast and accurate speed calculation is difficult or impossible. Such a control structure makes it possible to perform a smooth operation of the drive, even in the case of a step change of reference speed. This task is carried out properly with acceptable accuracy and dynamics. Such a control structure works well even for a drive with a small moment of inertia, and works properly even if rapid changes of motor load occur. Using this control method, the motor works silently. Experimental investigations prove the correctness of the concept. A load compensation mechanism allows a significant reduction in the ripple of the speed and current $i_d$. The direction of further research will be the decrease of the ripple in the speed or torque, (e.g., using a nonlinear controller), a study of the robustness on inaccurate drive parameter estimation and the robustness on the position estimation error. A complex control system, prepared in Matlab-Simulink, can be easily transferred into the DSP system.
References


