

# Dynamic characteristics of underframe semi-active inerter-based suspended device for high-speed train based on LQR control

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**Abstract.** The underframe passive inerter-based suspended device, based on the inerter-spring-damper vibration attenuation structure, could improve the dynamic performance of the train body, but its parameters are fixed and cannot meet the dynamic performance requirements under different operating conditions. Therefore, a semi-active inerter-based suspended device based on the linear quadratic regulator (LQR) control strategy is proposed to further enhance the dynamic performance. The rigid-flexible coupling vertical dynamic model of the train body and an underframe semi-active inerter-based suspended device are established. The structural parameters of the semi-active inerter-based suspended device are adjusted using LQR control strategy. Dynamic response of the system is obtained using the virtual excitation method. The dynamic characteristic of the system is evaluated using the Sperling index and compared with those of the passive and semi-active traditional suspended devices as well as the passive inerter-based suspended devices. The vertical vibration acceleration of the train body and Sperling index using the semi-active inerter-based suspended device is the smallest among the four suspended devices, which denotes the advantages of using the inerter and LQR control strategy. The semi-active inerter-based suspended device could decrease the vertical vibration acceleration of the train body and further suppress its elastic vibration in the lower frequency band, more effectively than the other three suspended devices. Overall, the semi-active inerter-based suspended device could significantly reduce elastic vibration of the train body and improve its dynamical performance.

**Key words:** dynamic characteristic, high-speed train, inerter-based suspended device, LQR control, Sperling index.

## 1. INTRODUCTION

As major breakthroughs have been made in many key areas of the high-speed train industry, the trains' operating speed increases gradually. Safety, comfort and speed are the fundamental goals of high-speed trains [1], as a railway transportation system with faster running speed, better travel comfort and higher safety are all urgently required. In order to achieve this goal, the lightweight design criterion and power decentralized traction are normally used in high-speed trains [2]. Lightweight design reduces the weight of high-speed train components and increases operating speed. However, rigidity of the train is reduced, which aggravates the elastic vibration of the train body and further deteriorates the travel comfort [3, 4]. The power decentralized traction needs to have the suspended device installed under the train body, which reduces axle load and the wear of wheels and rails, while also improving service time and dynamic performance. However, coupled vibration between the train body and underframe suspended device then occurs [5].

Many scholars have studied the dynamic characteristic of the train body and underframe suspended device. Tomioka *et al.* [6]

investigated interaction between the train body and longitudinal bogie, and regarded the longitudinal bogie as a dynamic vibration absorber (DVA), which effectively reduces vibration of the train body. Gong *et al.* [7] established the rigid-flexible coupling vertical dynamic model of the train body and underframe suspended device, calculated its natural frequencies and found that coupling vibration could be suppressed through adjusting suspension stiffness. Yang *et al.* [8] proposed a train model considering the roll motion of the train body, established a three-dimensional multi-body dynamic model in SIMPACK and found that coupling vibration would be reduced via increasing the roll moment inertia of the system. In the above research studies, the suspended device is based on the spring-damper vibration attenuation structure, but further improvement of the running smoothness and travel comfort of the high-speed train is restricted by the inherent structure of the traditional suspended device. Therefore, a new vibration attenuation structure should be sought to enhance dynamic performance.

In 2002, Smith [9] proposed the concept of an inerter, which is a device with two freely moving terminals, and its generated force is proportional to relative acceleration. This proportional constant is denoted as inertance and measured in kilograms. As a new type of vibration attenuation device, the inerter has been widely used in many areas and shown its advantages *inter alia* in vehicle suspension systems [10–12], aircraft landing gears [13], seismic mitigation systems [14, 15],

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dynamic vibration absorption structures [16] and vibration isolation systems [17–19]. At present, the application of an inerter used in a high-speed train has attracted the attention of related scholars. Jiang *et al.* [20] proposed a 7 degree-of-freedom (DOF) half train body model and investigated several inerter-based suspension layouts, which shows that reasonable suspension layout could improve the lateral and vertical travel comfort. Chen *et al.* [21] proposed a 31 DOF train model with inerter-based suspension, and applied network synthesis methods to construct the inerter-based structures, which effectively enhances dynamic performance and running stability. Lewis *et al.* [22] proposed a 6 DOF train model using both Hall-Bush longitudinal and inerter-based lateral suspensions, and found that using an inerter in lateral suspension could reduce track wear and enhance the travel comfort. In the above mentioned studies, the inerter is used in the secondary suspension system of a high-speed train, while the inerter utilized in the underframe suspended device has rarely been investigated.

Wang *et al.* [23] firstly applied the inerter in the underframe suspended device for a high speed train, established the rigid-flexible coupling vertical dynamic model of the train body and inerter-based suspended device, studied the dynamic characteristic of the coupled system and evaluated the dynamic performance using the Sperling index. The results showed that the inerter-based suspended device could effectively attenuate vibration of the train body and improve the dynamic performance more effectively than the traditional suspended device. For the passive inerter-based suspended device, the structural parameters are fixed and cannot satisfy the dynamic performance requirements under different operating conditions. Active and semi-active control strategies are the way to further reduce vibration of the train body and further improve its dynamic performance. Active control strategies, including PID control [24], model predict control [25] and active disturbance rejection control [26, 27], are commonly used control strategies. Semi-active control strategies, including optimal control [28,29], sliding mode control [30, 31], fuzzy control [32] and robust control [33], are also commonly used control strategies. Compared with active control structure, semi-active control structure has the advantages of simple form and low energy consumption. Therefore, the semi-active inerter-based suspended device is proposed, based on the semi-active inerter, semi-active damper and passive spring. The main goal is to investigate how the semi-active inerter-based suspended device influences the dynamic response and further enhances dynamic performance.

Optimal control strategies, including  $H_2$  optimal control [34],  $H_\infty$  optimal control [35] and optimal preview control [36, 37], are mostly studied for high-speed trains. The  $H_2$  optimal control strategy can be transformed into an optimal feedback system using linear state feedback control, which could be easily implemented in practical engineering. The linear quadratic regulator (LQR) control strategy is a type of  $H_2$  optimal control which has a very practical and clear physical meaning. Design of the control system is more standardized, has a unified analytical form, and manifests strong comprehensiveness and applicability. The linear quadratic Gaussian (LQG) control strategy minimizes the quadratic performance index for stochastic linear

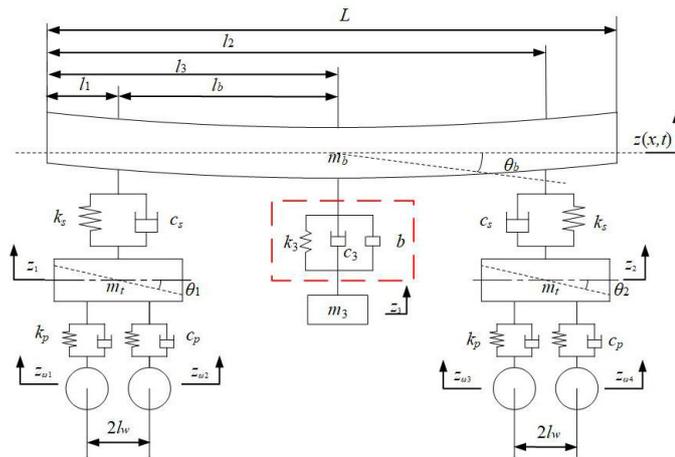
systems with Gaussian noise, while for the high-speed train, the typical track spectrum is not the Gaussian noise, so the LQR control strategy is used for the semi-active inerter-based suspended device in this paper, which regulates the inertance of the semi-active inerter and the damping of the semi-active damper. The main novelty of this paper is the following: (a) A semi-active inerter-based suspended device based on the LQR control strategy is proposed. (b) The rigid-flexible coupling vertical dynamic model of the train body and underframe semi-active inerter-based suspended device is established. (c) The effect of the semi-active inerter-based suspended device on the dynamic performance of the coupling dynamic system is studied and compared with those of the passive one to show its advantages.

The structure of the paper is as follows: in Section 2, the passive inerter-based suspended device is constructed and the rigid-flexible coupling vertical dynamic model of the train body and underframe suspended device is established. In Section 3, the semi-active inerter-based suspended device based on LQR control is proposed. In Section 4, the dynamic performance of the coupling dynamic system is studied. Overall conclusions are presented in Section 5.

## 2. PASSIVE INERTER-BASED SUSPENDED DEVICE AND ITS MODELING

In order to accurately describe the elastic vibration of the train body, the train body can be considered as a Euler–Bernoulli beam or a Timoshenko beam. The Euler–Bernoulli beam model considers the bending deformation of the train body without considering its shear deformation. The Timoshenko beam model considers the shear deformation and rotational inertia of the train body, so this model is more accurate and the frequency range for calculation and analysis is wider. Bearing in mind that the vibration frequency range of the conventional train is generally within 50 Hz, in this medium and low frequency range, the analysis results of the Euler–Bernoulli beam and Timoshenko beam models show little difference [4, 7]. In addition, theoretical analysis of the Timoshenko beam model is more complex. From the perspective of engineering application, the Euler–Bernoulli beam model can also accurately reflect the actual elastic vibration of the train body. In view of this, the elastic train body is equivalent to the Euler–Bernoulli beam with equal section and the underframe suspended device is installed under the middle of the train body, which can accurately describe the coupling vibration of the elastic train body and underframe suspended device. Therefore, the rigid-flexible coupling vertical dynamic model of the train body and inerter-based suspended device is established and shown in Fig. 1. It is composed of the train body, bogie, wheel set, primary and secondary suspension systems, and an underframe inerter-based suspended device. The inerter-based suspended device is based on the inerter-spring-damper vibration attenuation structure. To facilitate research, the bogie, wheel set and suspended device are regarded as rigid bodies. The coupling dynamic model has 9 DOF. The underframe suspended device has a bouncing DOF. Each bogie has a bouncing DOF and a pitching DOF, respectively. The train body also has a bouncing DOF and a pitching

DOF, respectively, and, in addition, the first two vertical elastic modes of the train body are considered. The total length of the train body is  $L$ , vertical and pitching displacements of the train body are  $z(x, t)$  and  $\theta_b$ , respectively, vertical and pitching displacements of bogie 1 (left) and bogie 2 (right) are  $z_1, z_2, \theta_1$  and  $\theta_2$ , respectively, while vertical displacement of the underframe suspended device is  $z_3$ . The wheel pairs are set close to the rails whose displacement is the input track irregularity, and the vertical excitations of the track irregularity of the four wheel sets are  $z_{\omega 1}, z_{\omega 2}, z_{\omega 3}$  and  $z_{\omega 4}$ , respectively. The structural parameters of the coupling dynamic model are listed in Table 1.



**Fig. 1.** Rigid-flexible coupling vertical dynamic model of the train body and underframe inerter-based suspended device

**Table 1**

Structural parameters of the coupling dynamic model

Parameter	Symbol	Value	Unit
Mass of train	$m_b$	29	t
Train body pitch inertia	$I_b$	1420	t·m <sup>2</sup>
Mass of bogie	$m_t$	2200	t
Bogie pitch inertia	$I_t$	1200	kg·m <sup>2</sup>
Secondary spring stiffness per bogie	$k_s$	260	kN/m
Secondary damping per bogie	$c_s$	20	kN·s/m
Primary spring stiffness per bogie	$k_p$	2000	kN/m
Primary damping per bogie	$c_p$	20	kN·s/m
Half of bogie center	$l_b$	8.75	m
Half of wheel base	$l_w$	1.25	m
Train body length	$L$	24.5	m
First bending frequency	$\omega_3$	$12.3 \times 2\pi$	rad/s
First bending damping ratio	$\xi_3$	1.5	%
Second bending frequency	$\omega_4$	$17 \times 2\pi$	rad/s
Second bending damping ratio	$\xi_4$	1.5	%
Mass of suspended device	$m_3$	4500	kg

The train body is simplified as the Euler–Bernoulli beam with equal cross-section [38], and its vibration equation is given as:

$$\ddot{q}_i(t) + 2\xi_i\omega_i\dot{q}_i(t) + \omega_i^2q_i(t) = \frac{Y_i(l_1)}{m_b}P_1 + \frac{Y_i(l_2)}{m_b}P_2 + \frac{Y_i(l_3)}{m_b}P_3; \quad i = 3, 4, \dots, n, \quad (1)$$

$$Y_i(x) = \text{ch}\beta_i x + \cos\beta_i x - \frac{\text{ch}\lambda_i - \cos\lambda_i}{\text{sh}\lambda_i - \sin\lambda_i}(\text{sh}\beta_i + \sin\beta_i), \quad (2)$$

$$l_1 = \frac{L}{2} - l_b, \quad l_2 = \frac{L}{2} + l_b, \quad l_3 = \frac{L}{2}, \quad (3)$$

$$1 - \text{ch}\lambda_i \cos\lambda_i = 0, \quad (4)$$

$$\beta_i = \lambda_i/L, \quad (5)$$

$$\lambda_i = (2i + 1)\pi/2, \quad (6)$$

where  $\omega_i, \xi_i, Y_i(x)$  and  $q_i(t)$  are the natural frequency, damping ratio, mode function and mode coordinate of the  $i$ th-order elastic mode for the train body, respectively.  $l_1$  and  $l_2$  are the distances from the center of the secondary suspension system of bogie 1 (left) and bogie 2 (right) to the left end of the train body, respectively, and  $l_3$  is the distance from the center of the underframe suspended device to the left end of the train body, which is also shown in Fig. 1. Based on equations (1), (2), (3), (4), (5) and (6), the vibration equation and the first two vertical elastic modes of the train body can be determined.

$P_1, P_2$  and  $P_3$  are the forces of the primary suspension, secondary suspension and inerter-based suspended device acting on the train body, respectively. The three forces are expressed as:

$$\begin{cases} P_1 = -k_s[z(l_1, t) - z_1] - c_s[z'(l_1, t) - z'_1], \\ P_2 = -k_s[z(l_2, t) - z_2] - c_s[z'(l_2, t) - z'_2], \\ P_3 = -k_3[z(l_3, t) - z_3] - c_3[z'(l_3, t) - z'_3] \\ \quad - b[z''(l_3, t) - z''_3]. \end{cases} \quad (7)$$

According to Fig. 1, the vertical and torsional dynamic equations of the train body are established as:

$$m_b z_b'' = P_1 + P_2 + P_3, \quad (8)$$

$$I_b \theta_b''(t) = P_1 \left( \frac{L}{2} - l_1 \right) + P_2 \left( \frac{L}{2} - l_2 \right) + P_3 \left( \frac{L}{2} - l_3 \right). \quad (9)$$

Bearing in mind that the first two modes of the train body are rigid modes, the first and second elastic modes are mainly considered in this paper, which are expressed as  $q_3$  and  $q_4$ , and the corresponding dynamic equations are given as:

$$q_3''(t) + 2\xi_3\omega_3q_3'(t) + \omega_3^2q_3(t) = \frac{Y_3(l_1)}{m_b}P_1 + \frac{Y_3(l_2)}{m_b}P_2 + \frac{Y_3(l_3)}{m_b}P_3, \quad (10)$$

$$q_4''(t) + 2\xi_4\omega_4q_4'(t) + \omega_4^2q_4(t) = \frac{Y_4(l_1)}{m_b}P_1 + \frac{Y_4(l_2)}{m_b}P_2 + \frac{Y_4(l_3)}{m_b}P_3. \quad (11)$$

The vertical and torsional dynamic equations of the left bogie are given by:

$$m_l z_1'' + c_p(2z_1' - z_{\omega 1}' - z_{\omega 2}') + k_p(2z_1 - z_{\omega 1} - z_{\omega 2}) = -P_1, \quad (12)$$

$$I_t \theta_1'' + 2l_w^2 c_p \theta_1' + 2l_w^2 k_p \theta_1 = -l_w c_p z_{\omega 1}' + l_w c_p z_{\omega 2}' - l_w k_p z_{\omega 1} + l_w k_p z_{\omega 2}. \quad (13)$$

The vertical and torsional dynamic equations of the right bogie are given by:

$$m_l z_2'' + c_p(2z_2' - z_{\omega 3}' - z_{\omega 4}') + k_p(2z_2 - z_{\omega 3} - z_{\omega 4}) = -P_2, \quad (14)$$

$$I_t \theta_2'' + 2l_w^2 c_p \theta_2' + 2l_w^2 k_p \theta_2 = -l_w c_p z_{\omega 3}' + l_w c_p z_{\omega 4}' - l_w k_p z_{\omega 3} + l_w k_p z_{\omega 4}. \quad (15)$$

The vertical dynamic equation of the underframe suspended device is given as:

$$m_3 z_3'' - k_3[z(l_3, t) - z_3] - c_3[z'(l_3, t) - z_3'] - b[z''(l_3, t) - z_3''] = 0. \quad (16)$$

Using equation (7), equations (8), (9), (10), (11), (12), (13), (14), (15), and (16) can be written in a matrix form

$$\begin{aligned} \mathbf{M}\mathbf{Z}'' + \mathbf{C}\mathbf{Z}' + \mathbf{K}_1\mathbf{Z} &= \mathbf{D}\mathbf{Z}_\omega, \\ \mathbf{Z} &= [z_b \theta_b q_3 q_4 z_1 \theta_1 z_2 \theta_2 z_3]^T, \\ \mathbf{Z}_\omega &= [z_{\omega 1} z_{\omega 1}' z_{\omega 2} z_{\omega 2}' z_{\omega 3} z_{\omega 3}' z_{\omega 4} z_{\omega 4}']^T, \end{aligned} \quad (17)$$

where  $\mathbf{M}$  is the mass matrix,  $\mathbf{C}$  is the damping matrix,  $\mathbf{K}_1$  is the stiffness matrix and  $\mathbf{D}$  is the input excitation matrix, with the definitions of these matrices being given in the Appendix.

As the high-speed train runs on a fixed track, the input of the second, third and fourth wheel sets could be treated as the delay of the first wheel set. Assuming that there exists no time delay in the roughness input for the first wheel set and with its self-density being  $S_{FF}(\omega)$ , the corresponding virtual excitation is:

$$\begin{aligned} \mathbf{Z}_\omega &= \begin{bmatrix} z_{\omega 1}(t) \\ z_{\omega 2}(t) \\ z_{\omega 3}(t) \\ z_{\omega 4}(t) \end{bmatrix} = \begin{bmatrix} z_{\omega 1}(t) \\ z_{\omega 1}(t - \tau_1) \\ z_{\omega 1}(t - \tau_2) \\ z_{\omega 1}(t - \tau_3) \end{bmatrix} = \begin{bmatrix} 1 \\ e^{-j\omega\tau_1} \\ e^{-j\omega\tau_2} \\ e^{-j\omega\tau_3} \end{bmatrix} z_{\omega 1}(t) \\ &= \begin{bmatrix} 1 \\ e^{-j\omega\tau_1} \\ e^{-j\omega\tau_2} \\ e^{-j\omega\tau_3} \end{bmatrix} \sqrt{S_{FF}(\omega)} e^{j\omega t}, \end{aligned} \quad (18)$$

where  $\tau_1 = 2l_w/v$ ,  $\tau_2 = 2l_b/v$  and  $\tau_3 = 2(l_w + l_b)/v$ . As the track spectrum is determined and taken as the input excitation, self-density  $S_{FF}(\omega)$  for the first wheel set roughness input can be obtained, and combining equations (18) and (A4), the input excitation  $\mathbf{D}\mathbf{Z}_\omega$  in equation (17) can be determined.

Substituting equation (18) into equation (17) yields the following:

$$\mathbf{H}(\omega) = [-\omega^2\mathbf{M} + \omega\mathbf{C} + \mathbf{K}]^{-1}\mathbf{D} \begin{bmatrix} 1 \\ e^{-j\omega\tau_1} \\ e^{-j\omega\tau_2} \\ e^{-j\omega\tau_3} \end{bmatrix} z_{\omega 1}(t), \quad (19)$$

$$\mathbf{Y} = [-\omega^2\mathbf{M} + \omega\mathbf{C} + \mathbf{K}]^{-1}\mathbf{D} \begin{bmatrix} 1 \\ e^{-j\omega\tau_1} \\ e^{-j\omega\tau_2} \\ e^{-j\omega\tau_3} \end{bmatrix}. \quad (20)$$

Then the dynamic response power spectrum is:

$$S_{yy} = \mathbf{H}(\omega)^* \mathbf{H}(\omega)^T = \mathbf{Y}^* \mathbf{Y}^T, \quad (21)$$

where  $\mathbf{Y}^*$  and  $\mathbf{Y}^T$  are the conjugate and transpose vectors of  $\mathbf{Y}$ , respectively. Based on equation (21), the amplitude at each sampling frequency could be solved as:

$$a_k^2 = 4S_{yy}(\omega_k)\Delta\omega, \quad (22)$$

where  $\Delta\omega = (\omega_\mu - \omega_l)/N$  is the sampling frequency interval,  $\omega_\mu = 200\pi$  and  $\omega_l = 0.6\pi$  are the upper and lower cut-off frequencies, and  $N = 2500$  stands for the sampling points.

Therefore, the dynamic response power spectrum  $S_{yy}$  can be obtained using the virtual excitation method. There exists no time domain or frequency domain integration in the solving process, which greatly simplifies the calculation.

### 3. SEMI-ACTIVE INERTER-BASED SUSPENDED DEVICE AND ITS MODELING

Then, the semi-active inerter-based suspended device is constructed. The semi-active inerter-based suspended device is based on the semi-active inerter and semi-active damper. The inertance of the semi-active inerter is equivalent to a base value and an adjustable value, which generates adjustable inertial force  $F_b$ . The damping of the semi-active damper is also equivalent to a base value and an adjustable value, which generates adjustable damping force  $F_c$ . Adjustable inertial force  $F_b$  and adjustable damping force  $F_c$  form adjustable force  $F$ . The combined adjustable force  $F$  is generated by the semi-active inerter and semi-active damper, which does not introduce additional energy to the system so that it is semi-active. The semi-active inerter-based suspended device is shown in Fig. 2.

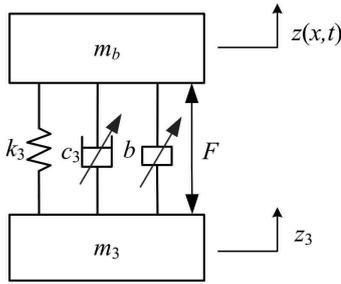


Fig. 2. Semi-active inerter-based suspended device

The dynamic equations of the semi-active coupling dynamic model are given by:

$$\begin{cases}
 m_b z_b'' = P_1 + P_2 + P_3 + F, \\
 I_b \theta_b''(t) = P_1 \left( \frac{L}{2} - l_1 \right) + P_2 \left( \frac{L}{2} - l_2 \right) + (P_3 + F) \left( \frac{L}{2} - l_3 \right), \\
 q_3''(t) + 2\xi_3 \omega_3 q_3'(t) + \omega_3^2 q_3(t) = \frac{Y_3(l_1)}{mb} P_1 + \frac{Y_3(l_2)}{mb} P_2 \\
 \quad + \frac{Y_3(l_3)}{mb} (P_3 + F), \\
 q_4''(t) + 2\xi_4 \omega_4 q_4'(t) + \omega_4^2 q_4(t) = \frac{Y_4(l_1)}{mb} P_1 + \frac{Y_4(l_2)}{mb} P_2 \\
 \quad + \frac{Y_4(l_3)}{mb} (P_3 + F), \\
 m_t z_1'' + c_p (2z_1' - z_{\omega 1}' - z_{\omega 2}') + k_p (2z_1 - z_{\omega 1} - z_{\omega 2}) = -P_1, \\
 I_t \theta_1'' + 2l_w^2 c_p \theta_1' + 2l_w^2 k_p \theta_1 = -l_w c_p z_{\omega 1}' + l_w c_p z_{\omega 2}' \\
 \quad - l_w k_p z_{\omega 1} + l_w k_p z_{\omega 2}, \\
 m_t z_2'' + c_p (2z_2' - z_{\omega 3}' - z_{\omega 4}') + k_p (2z_2 - z_{\omega 3} - z_{\omega 4}) = -P_2, \\
 I_t \theta_2'' + 2l_w^2 c_p \theta_2' + 2l_w^2 k_p \theta_2 = -l_w c_p z_{\omega 3}' + l_w c_p z_{\omega 4}' \\
 \quad - l_w k_p z_{\omega 3} + l_w k_p z_{\omega 4}, \\
 m_3 z_3'' - k_3 [z(l_3, t) - z_3] - c_3 [z'(l_3, t) - z_3'] \\
 \quad - b [z''(l_3, t) - z_3''] = F,
 \end{cases} \quad (23)$$

where adjustable force  $F$  is included in equation (23). Equation (23) could be further expressed as a state form:

$$\mathbf{X}' = \mathbf{A}\mathbf{X} + \mathbf{B}\mathbf{U} + \mathbf{B}_2\mathbf{Z}_\omega, \quad (24)$$

where  $\mathbf{A}$  is the system matrix,  $\mathbf{B}$  is the input matrix,  $\mathbf{B}_2$  is the coefficient matrix of the input track irregularity,  $\mathbf{X}$  is the state vector and  $\mathbf{U}$  is the control vector. These matrices are given by:

$$\begin{aligned}
 \mathbf{X} &= [\mathbf{Z} \quad \mathbf{Z}']^T, \quad \mathbf{A} = \begin{bmatrix} \mathbf{0}_{9 \times 9} & \mathbf{I}_{9 \times 9} \\ -\mathbf{M}^{-1}\mathbf{K} & -\mathbf{M}^{-1}\mathbf{C} \end{bmatrix}, \\
 \mathbf{B}_1 &= \begin{bmatrix} 1 & 0 & \frac{Y_3(l_3)}{mb} & \frac{Y_4(l_3)}{mb} & 0 & 0 & 0 & 0 & -1 \end{bmatrix}^T, \quad (25) \\
 \mathbf{B} &= [\mathbf{0}_{9 \times 1} \quad -\mathbf{M}^{-1}\mathbf{B}_1]^T, \quad \mathbf{U} = [F], \\
 \mathbf{B}_2 &= [\mathbf{0}_{9 \times 1} \quad \mathbf{M}^{-1}\mathbf{D}]^T,
 \end{aligned}$$

where  $\mathbf{Z}_\omega$  is the excitation disturbance vector of the track irregularity and it is shown in equation (17) in Section 2. As self-density  $S_{FF}(\omega)$  is obtained, combining equations (18), (25) and (A4), input excitation  $\mathbf{B}_2 \mathbf{Z}_\omega$  in equation (24) can be determined.

The LQR control strategy is applied to adjustable force  $F$ . Its object is a linear system expressed in the state space form, the objective function is a quadratic function of the object state and control variable. By designing the state feedback controller  $\bar{\mathbf{K}}$ , the quadratic objective function  $J$  could acquire the minimum value. The controller  $\bar{\mathbf{K}}$  is particularly defined by the weight matrix  $\mathbf{Q}$  and  $\mathbf{R}$ , which shows the importance of the choice for  $\mathbf{Q}$  and  $\mathbf{R}$ . The LQR control strategy could acquire optimal control for a linear state feedback system, which constitutes closed-loop optimal control.

The output vector of the controlled system is:

$$\mathbf{y} = [z_b'' \quad \theta_b'' \quad z_3'']^T, \quad (26)$$

where  $z_b''(x)$ ,  $\theta_b''(x)$  and  $z_3''(x)$  are the vertical vibration acceleration of the train body, pitching acceleration of the train body and the vertical vibration acceleration of the underframe suspended device, respectively.

Output vector  $\mathbf{y}$  can be determined by the following matrix, which is given as:

$$\begin{aligned}
 \mathbf{y} &= \mathbf{C}_y \mathbf{X} + \mathbf{D}_y \mathbf{U}, \\
 \mathbf{G} &= \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}, \\
 \mathbf{C}_y &= [-\mathbf{G}\mathbf{M}^{-1}\mathbf{K}_1 \quad -\mathbf{G}\mathbf{M}^{-1}\mathbf{C}], \\
 \mathbf{D}_y &= [\mathbf{0}_{3 \times 1} \quad \mathbf{G}\mathbf{M}^{-1}\mathbf{B}_1], \quad (27)
 \end{aligned}$$

where  $\mathbf{C}_y$  and  $\mathbf{D}_y$  are the output and direct transfer matrices, respectively, and both matrices are constant matrices.

The goal of the LQR control strategy is to find the control vector to minimize the performance index, which is given by:

$$J = \lim_{T \rightarrow \infty} \frac{1}{T} E \int_0^{\infty} [q_1 z_b''^2 + q_2 \theta_b''^2 + q_3 z_3''^2] dt, \quad (28)$$

while the standard quadratic form of the performance index function  $J$  is:

$$J = \lim_{T \rightarrow \infty} \frac{1}{T} E \left[ \int_0^{\infty} (\mathbf{y}^T \mathbf{Q} \mathbf{y} + \mathbf{U}^T \mathbf{R} \mathbf{U}) dt \right], \quad (29)$$

where  $\mathbf{Q}$  and  $\mathbf{R}$  are the weighting matrices of the state and control variables, respectively.  $\mathbf{Q}$  is a non-negative definite matrix and  $\mathbf{R}$  is a positive definite matrix, which is given as:

$$\mathbf{Q} = \mathbf{C}_y^T \mathbf{Q}_0 \mathbf{C}_y, \quad \mathbf{R} = \mathbf{D}_y^T \mathbf{Q}_0 \mathbf{D}_y, \quad \mathbf{Q}_0 = \begin{bmatrix} q_1 & 0 & 0 \\ 0 & q_2 & 0 \\ 0 & 0 & q_3 \end{bmatrix}. \quad (30)$$

After determining the system parameter values, controller characteristic parameters and weighting coefficients, the optimal feedback gain matrix could be acquired by solving the Riccati equation and is given as:

$$\mathbf{PA} + \mathbf{A}^T \mathbf{P} - \mathbf{PBR}^{-1} \mathbf{B}^T \mathbf{P} + \mathbf{Q} = \mathbf{0}, \quad (31)$$

then the symmetric positive definite matrix  $\mathbf{P}$  is obtained and optimal feedback gain matrix  $\bar{\mathbf{K}}$  is given by:

$$\bar{\mathbf{K}} = \mathbf{R}^{-1} \mathbf{B}^T \mathbf{P}, \quad (32)$$

where feedback gain matrix  $\bar{\mathbf{K}}$  is an  $N$  dimensional coefficient matrix, which can be obtained by using the LQR function in MATLAB. Based on the acquired optimal feedback gain matrix  $\bar{\mathbf{K}}$  and feedback state variable  $\mathbf{X}$ , adjustable force  $F$  can be obtained:

$$F = -\bar{\mathbf{K}}\mathbf{X}, \quad (33)$$

where  $\mathbf{X}$  can be obtained using equation (25) and it equals  $[z_b \ \theta_b \ q_3 \ q_4 \ z_1 \ \theta_1 \ z_2 \ \theta_2 \ z_3 \ z'_b \ \theta'_b \ q'_3 \ q'_4 \ z'_1 \ \theta'_1 \ z'_2 \ \theta'_2 \ z'_3]^T$ . The optimal feedback gain matrix equals  $[k_1 \ k_2 \ \dots \ k_{18}]$ .

In the actual control system design, the output needs to be controlled, and the controllability matrix of the control system is expressed as:

$$\mathbf{V}_c = [\mathbf{C}_y \mathbf{B} \quad \mathbf{C}_y \mathbf{A} \mathbf{B} \quad \dots \quad \mathbf{C}_y \mathbf{A}^{n-1} \mathbf{B}], \quad (34)$$

The controllability of the system depends on the rank of controllability matrix  $\mathbf{V}_c$ , if the rank equals the number of output variables, the system is controllable, which is given as:

$$\text{rank} \mathbf{V}_c = \text{rank} [\mathbf{C}_y \mathbf{B} \quad \mathbf{C}_y \mathbf{A} \mathbf{B} \quad \dots \quad \mathbf{C}_y \mathbf{A}^{n-1} \mathbf{B}] = n, \quad (35)$$

combining equations (25) and (27), using the structural parameters of the high-speed train shown in Table 1, the rank of controllability matrix  $\mathbf{V}_c$  equals 3, which also equals the number of output variables, and then the system is controllable.

According to the Lyapunov stability theorem, there exists a quadratic Lyapunov function  $V(\mathbf{X}) = \mathbf{X}^T \mathbf{P} \mathbf{X}$  about the symmetric positive definite matrix  $\mathbf{P}$  shown in equation (31). Differentiating function  $V(\mathbf{X})$  with respect to the time  $t$  yields:

$$\begin{aligned} \frac{dV(\mathbf{X})}{dt} &= \mathbf{X}^T \mathbf{P} \mathbf{X}' + \mathbf{X}'^T \mathbf{P} \mathbf{X} \\ &= \mathbf{X}^T [\mathbf{P}(\mathbf{A} - \mathbf{BR}^{-1} \mathbf{B}^T \mathbf{P}) + (\mathbf{A} - \mathbf{BR}^{-1} \mathbf{B}^T \mathbf{P})^T \mathbf{P}] \mathbf{X} \\ &= \mathbf{X}^T [\mathbf{PA} - \mathbf{PBR}^{-1} \mathbf{B}^T \mathbf{P} + \mathbf{A}^T \mathbf{P} - \mathbf{PBR}^{-1} \mathbf{B}^T \mathbf{P}] \mathbf{X} \\ &= -\mathbf{X}^T [\mathbf{Q} + \mathbf{PBR}^{-1} \mathbf{B}^T \mathbf{P}] \mathbf{X} < \mathbf{0}. \end{aligned} \quad (36)$$

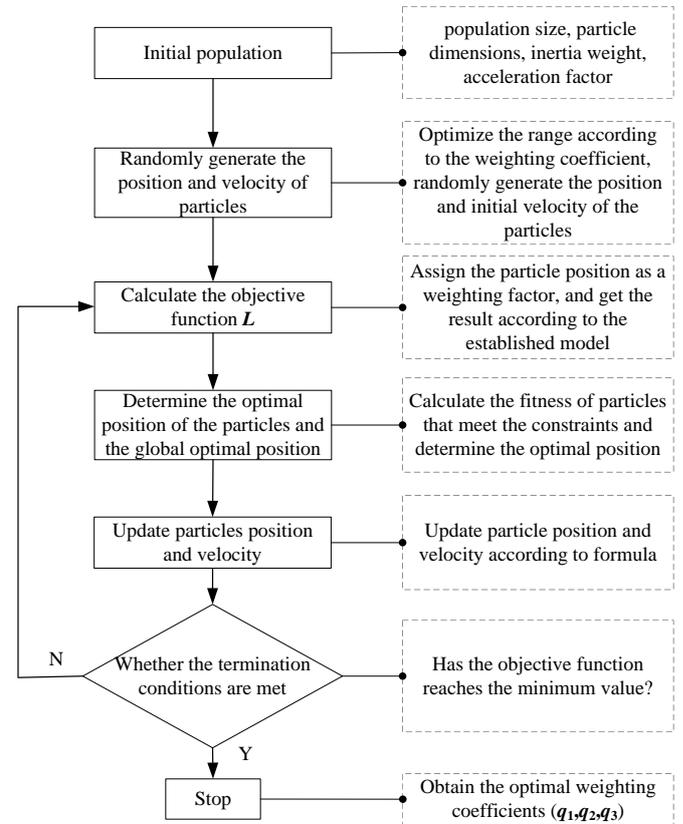
Thus it can be proved that the control system is stable.

In the LQR control strategy, the design of performance index function  $J$  is the most important and crucial part, which determines the quality of the control effect. The dynamic response is obtained using MATLAB/Simulink and the weighting coefficient matrix  $\mathbf{Q}_0$  is optimized by the particle swarm algorithm.

The optimization objective function of the particle swarm algorithm is defined as:

$$L = \frac{\text{RMS}[z''_b(x)]}{\text{RMS}[z''_{bp}]} + \frac{\text{RMS}[\theta''_b(x)]}{\text{RMS}[\theta''_{bp}]} + \frac{\text{RMS}[z''_3(x)]}{\text{RMS}[z''_{3p}]}, \quad (37)$$

where RMS denotes the root mean square value. The denominator denotes the variables using the passive inerter-based suspended device, the numerator denotes the variables using the semi-active inerter-based suspended device. If the optimization objective function  $L$  obtains the minimum value, the particle swarm algorithm stops. The optimization process of the weighting coefficient is shown in Fig. 3.



**Fig. 3.** Optimization process of weighting coefficient using particle swarm algorithm

For the semi-active inerter-based suspended device, the inertia of the semi-active inerter and the damping of the semi-active damper are equivalent to a base value and an adjustable value, and the base values of the semi-active inerter and semi-active damper are chosen as 2080 kg and 8000 N·s/m, respectively. In addition, the stiffness of the spring is chosen as 160798N/m. Bear in mind that the structural parameters of the passive inerter-based suspended device are chosen as the same values that make the optimization objective function a minimum value. The ranges of the weighting coefficients ( $q_1, q_2, q_3$ ) are from  $1 \times 10^{-6}$  to  $1 \times 10^6$ , and they are determined using the particle swarm algorithm to minimize the performance index function  $J$ . The setting parameters of the particle swarm

**Table 2**

Weighting coefficients for the semi-active inerter-based suspended device with different speeds

Weighting coefficient	Speed (km/h)					
	200	230	260	290	320	350
$q_1$	$1 \times 10^{-6}$	$1 \times 10^{-6}$	$3.8552 \times 10^5$	$8.9998 \times 10^5$	$4.8230 \times 10^5$	$9.9971 \times 10^5$
$q_2$	$5.8941 \times 10^4$	$1 \times 10^6$	$9.9986 \times 10^5$	$1 \times 10^6$	$8.1902 \times 10^5$	$1 \times 10^6$
$q_3$	$1 \times 10^{-6}$	$1 \times 10^{-6}$	$3.6232 \times 10^4$	$6.1877 \times 10^4$	$2.5334 \times 10^4$	$3.0070 \times 10^4$

algorithm are as follows: the population size is set as 80, the maximum number of iterations is set as 300, the particle dimensions are the number of weighting coefficients which equals 3, the range of the initial inertia weight is from 0.4 to 0.9, the range of the acceleration factor is from 0 to 4. Different initial inertia weight and acceleration factors lead to different optimization results. Comparing the optimization results repeatedly and choosing the minimum optimization objective function  $L$  as the final result, the initial inertia weight is chosen as 0.58 and the acceleration factor is chosen as 1.52. The weighting coefficients with different speeds are displayed in Table 2.

After determining the weighting coefficients ( $q_1, q_2, q_3$ ) using the particle swarm algorithm, the weighting coefficient matrix  $\mathbf{Q}_0$  could be obtained, then the matrices  $\mathbf{Q}$  and  $\mathbf{R}$  are obtained based on equations (27) and (30). Through solving the Riccati equation in equation (31) and after combining it with equation (33), the optimal control force can be obtained:

$$\mathbf{F} = \mathbf{R}^{-1} \mathbf{B}^T \mathbf{P} \mathbf{X}. \quad (38)$$

Using the structural parameters of the high-speed train shown in Table 1, when the speed equals 200 km/h and 350 km/h, respectively, the corresponding feedback gain matrix  $\mathbf{K}$  is obtained based on equation (32) and is shown in the Appendix, while the corresponding adjustable force  $F$  equals 2870 N and  $2.1 \times 10^4$  N, respectively. Because adjustable force  $F$  increases as the speed increases, when the speed of the high-speed train increases from 200 km/h to 350 km/h, the range of adjustable force  $F$  is [2870 N,  $2.1 \times 10^4$  N]. The base values of the semi-active inerter and semi-active damper are chosen as 2080 kg and 8000 N·s/m, respectively. In addition, for an inerter with a mass of 33 kg, the generated maximum inertance can be  $1 \times 10^4$  kg [40]. So adjustable force  $F$  can be realized by the semi-active inerter and semi-active damper.

Bear in mind that in practical engineering, the weighting coefficients ( $q_1, q_2, q_3$ ) are calculated according to the set road conditions and stored in a database. Therefore, in the actual use of the controller, the required weighting coefficients can be obtained by searching the database based on the actual road conditions.

#### 4. DYNAMIC PERFORMANCE ANALYSIS

Typical structural parameters of the high-speed train are adopted and the low interference power spectrum is chosen as the track spectrum for the high-speed train [41], which is

given as:

$$S_V(\Omega) = \frac{A_V \Omega_c^2}{(\Omega^2 + \Omega_r^2) + (\Omega^2 + \Omega_c^2)}, \quad (39)$$

where  $\Omega$  is the spatial frequency, and  $\Omega_c$  and  $\Omega_r$  are the truncated wave numbers and chosen as 0.8246 rad/m and 0.0206 rad/m, respectively.  $A_V$  is the roughness coefficient of railway irregularity and chosen as  $4.032 \times 10^{-7}$  m·rad.

The relationship between time frequency  $\omega$  and space frequency  $\Omega$  is:

$$\Omega = \omega/v. \quad (40)$$

Furthermore, converting the spatial frequency spectrum of rail irregularities shown in equation (38) into time frequency spectrum yields the following:

$$S_{FF}(\omega) = \frac{2\pi A_V \Omega_c^2 v^3}{[\omega^2 + v^2 \Omega_r^2]^2 + [\omega^2 + v^2 \Omega_c^2]^2}. \quad (41)$$

The low interference track spectrum is taken as the input excitation, substituting adjustable force  $F$  of the semi-active inerter-based suspended device regulated by the LQR control into equation (24) to acquire the dynamic response of the coupling dynamic system.

The vertical vibration acceleration studied in this paper is taken in the middle of the train body, and the changing tendency of its RMS value with different speed is displayed in Fig. 4a, where four different suspended devices are shown in the same figure for comparison, and the thorough values are exhibited in Table 3. The four suspended devices are passive and semi-active traditional suspended devices as well as passive and semi-active inerter-based suspended devices. The traditional suspended device is based on the spring-damper vibration attenuation structure, the stiffness of the spring is chosen as 1 500 000 N/m and the damping of the damper is chosen as 100 000 N·s/m, respectively, which makes objective function  $J$  a minimum value. The semi-active traditional suspended device denotes that the damper is semi-active and the LQR control strategy is adopted to adjust the adjustable damping force, while the base value of the semi-active damper is chosen as 100 000 N·s/m, and the resulting weighting coefficients with different speed are displayed in Table 4. The optimization range of the RMS value of the vertical vibration acceleration of the train body using the semi-active traditional, passive and semi-active inerter-based suspended devices compared with the pas-

sive traditional one is shown in Fig. 4b, which is defined as:

$$[\text{RMS}(z_b'')_{\text{PT}} - \text{RMS}(z_b'')_{\text{SAT, PIB, SAIB}}] / \text{RMS}(z_b'')_{\text{PT}} \times 100\%,$$

where the subscript PT, SAT, PIB, SAIB refers to the passive traditional, semi-active traditional, passive and semi-active inerter-based suspended devices, respectively. If the value of the optimization range is the largest, the corresponding suspended device is most beneficial.

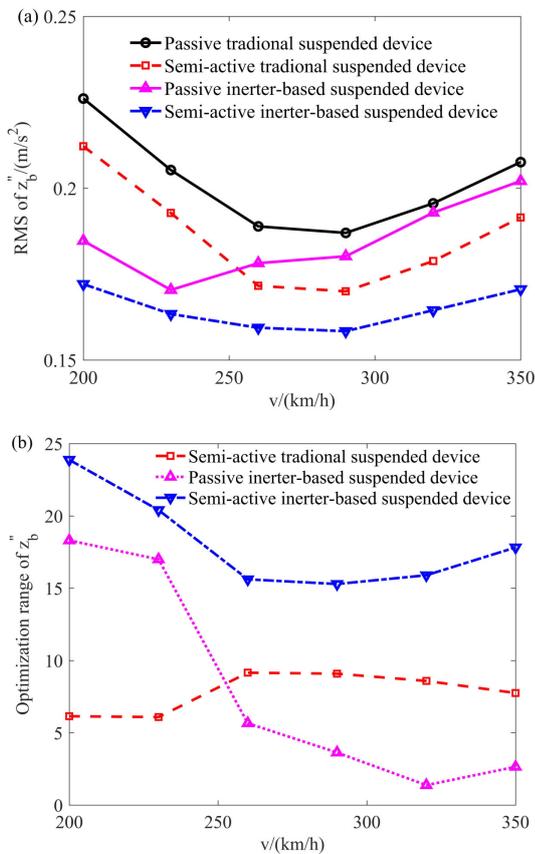
As shown in Fig. 4a, when the speed increases, the RMS value of vertical vibration acceleration of the train body using the four suspended devices first decreases and then increases. The RMS value of vertical vibration acceleration of

the train body using the passive inerter-based suspended device is smaller than that of the passive traditional one, which indicates the advantages of introducing an inerter. The difference decreases by increasing the speed, which decreases from 18.31% at 200 km/h to 2.65% at 350 km/h. The RMS value of vertical vibration acceleration of the train body using the semi-active inerter-based suspended device is smaller than that of the passive one, which suggests the necessity of using the LQR control strategy, and the differences are obvious as speed increases. In addition, the RMS value of vertical vibration acceleration of the train body using the semi-active inerter-based suspended device is smaller than that of the semi-active traditional one (see Table 3), which further shows the benefits of the inerter. As shown in Fig. 4(b), compared with the passive traditional suspended device, the optimization range of RMS value of vertical vibration acceleration of the train body using the semi-active inerter-based one is the best among the latter three suspended devices, which is most beneficial.

Overall, the RMS value of vertical vibration acceleration of the train body using the semi-active inerter-based suspended device is the smallest among the four suspended devices, and its optimization range as compared with the passive traditional one is more obvious, especially in the lower and higher speed ranges.

In order to clearly show the advantages of the semi-active inerter-based suspended device, the power spectrum density (PSD) of the vertical vibration acceleration of the train body for four suspended devices is shown in Fig. 5, with the running speeds chosen as 200 km/h and 350 km/h, which is elaborated in the aspect of frequency domain. The semi-active inerter-based suspended device could reduce vertical vibration acceleration and further attenuate elastic vibration of the train body significantly in the lower frequency band as compared with the other three suspended devices, while it has little effect in the higher frequency band.

The time domain and frequency domain responses of the vertical vibration acceleration for four suspended devices with two speed are shown in Figs. 6 and 7, respectively. In the time domain, the vertical vibration acceleration of the train body using the semi-active inerter-based suspended device is the smallest among the four suspended devices; In the frequency domain, the resonance peaks for the semi-active inerter-based suspended device is also the smallest among the four suspended devices, which is consistent with the results shown in Figs. 4 and 5.



**Fig. 4.** (a) RMS value of vertical vibration acceleration for four suspended devices, (b) optimization range of the RMS value of vertical vibration acceleration using the latter three suspended devices compared with the passive traditional one

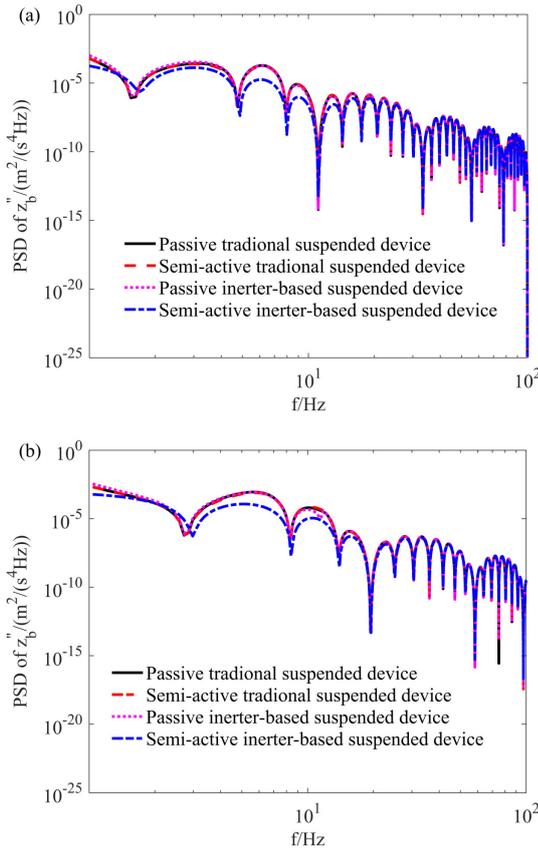
**Table 3**

Thorough RMS value of vertical vibration acceleration for four suspended devices

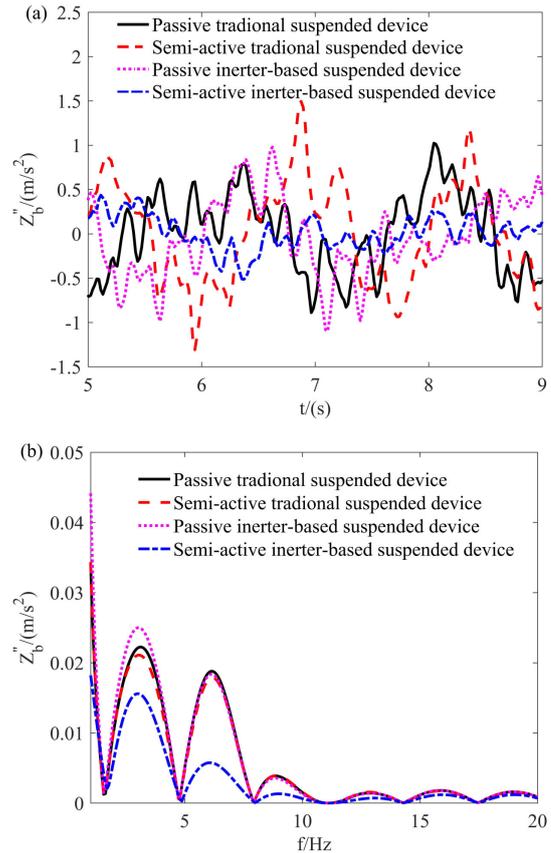
RMS of $z_b''$ (m/s <sup>2</sup> )	Speed (km/h)					
	200	230	260	290	320	350
Passive traditional suspended device	0.2261	0.2053	0.1889	0.1870	0.1956	0.2076
Semi-active traditional suspended device	0.2122	0.1928	0.1716	0.1700	0.1788	0.1915
Passive inerter-based suspended device	0.1847	0.1704	0.1782	0.1802	0.1929	0.2021
Semi-active inerter-based suspended device	<b>0.1721</b>	<b>0.1634</b>	<b>0.1594</b>	<b>0.1584</b>	<b>0.1645</b>	<b>0.1706</b>

**Table 4**  
Weighting coefficients for the semi-active traditional suspended device with different speeds

Weighting coefficient	Speed (km/h)					
	200	230	260	290	320	350
$q_1$	$1 \times 10^{-6}$					
$q_2$	$1 \times 10^6$	$1 \times 10^6$	$1 \times 10^{-6}$	$1 \times 10^{-6}$	$1 \times 10^{-6}$	$1 \times 10^{-6}$
$q_3$	3.2153	23.4131	117.0833	55.2893	30.0229	20.7305



**Fig. 5.** PSD of vertical vibration acceleration for four suspended devices: (a)  $v = 200$  km/h, (b)  $v = 350$  km/h



**Fig. 6.** (a) Time domain and (b) frequency domain responses of vertical vibration acceleration for four suspended devices with  $v = 200$  km/h

Furthermore, displacement transmissibility of the train body for four suspended devices is shown in Fig. 8, which is defined as the ratio between displacement in the middle of the train body  $z_b$  and input displacement  $z_{\omega 1}$ . Two main resonance frequencies are observed, and the smaller one corresponds to rigid body vibration while the larger one corresponds to elastic body vibration. The lower resonance peak of displacement transmissibility using four suspended devices remains almost the same, while the higher resonance peak of displacement transmissibility using the semi-active inerter-based suspended device is the smallest among the four ones. This further clarifies the reason for the corresponding vertical vibration acceleration to be the smallest, as shown in Fig. 4 and Table 3.

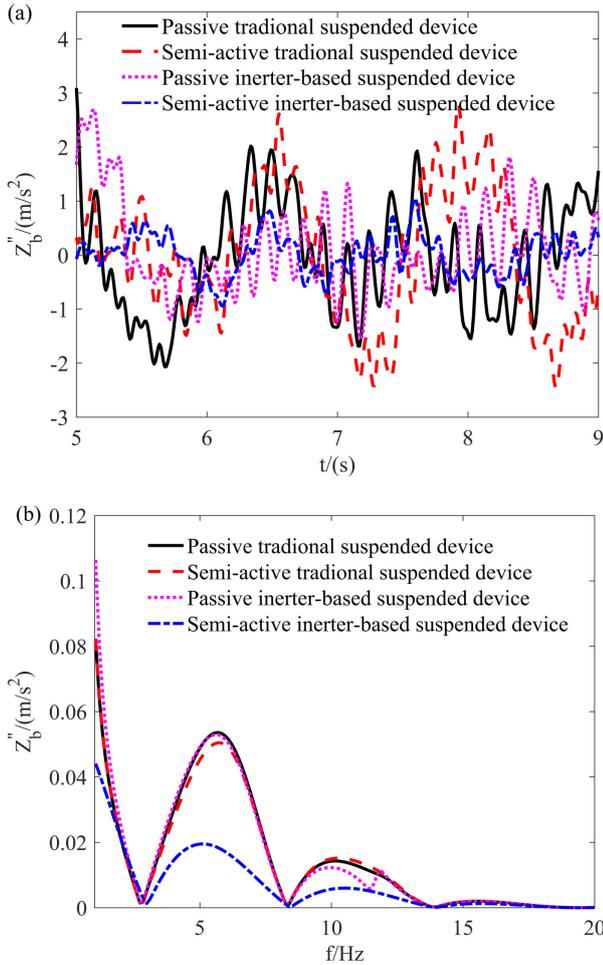
The Sperling index [39] is used to evaluate running quality of the high-speed train. The smaller the Sperling index, the bet-

ter the running quality of the train. The Sperling index at each excitation frequency can be obtained as:

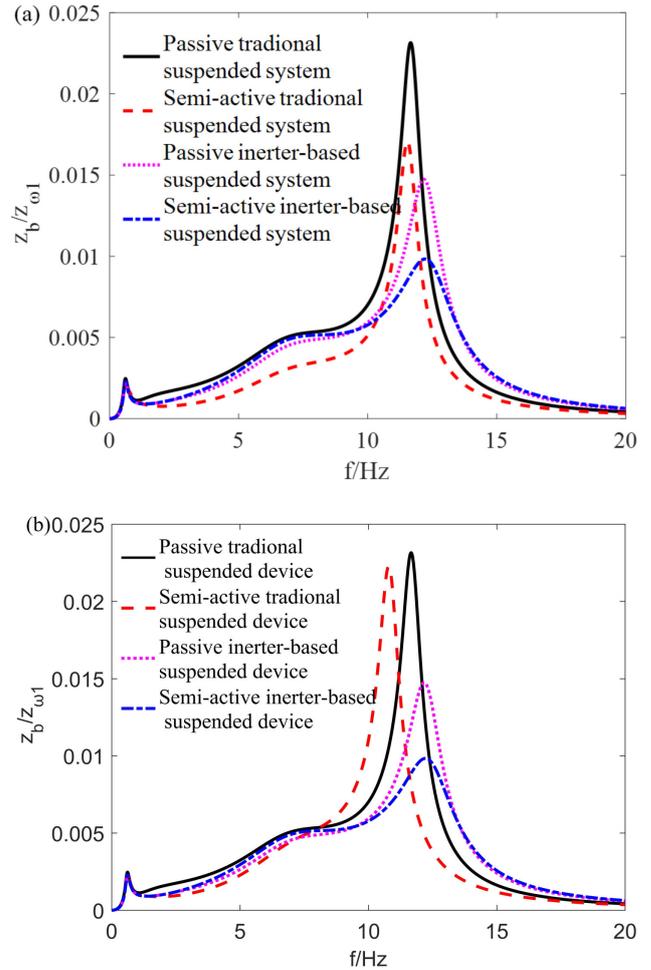
$$W_k = 2.7 \cdot \sqrt[10]{a_k f_k^5 F(f_k)} = 0.896 \cdot \sqrt[10]{a_k F(f_k) / f_k}, \quad (42)$$

where  $f_k$  is the excitation frequency,  $a_k$  is the acceleration amplitude for  $f_k$ , and  $F(f_k)$  is the weighting coefficient related to the excitation frequency, and it is given by:

$$F(f_k) = \begin{cases} 0.325 f_k^2 & 0.5 < f_k \leq 5.9, \\ \frac{400}{f_k^2} & 5.9 < f_k \leq 20, \\ 1 & f_k > 20. \end{cases} \quad (43)$$



**Fig. 7.** (a) Time domain and (b) frequency domain responses of vertical vibration acceleration for four suspended devices with  $v = 350$  km/h



**Fig. 8.** Displacement transmissibility of train body for four suspended devices: (a)  $v = 200$  km/h, (b)  $v = 350$  km/h

The Sperling index for each excitation frequency could be obtained and the total Sperling indexes in the excitation frequency band are given as:

$$W_{\text{tot}} = \sqrt[10]{(W_1^{10} + \dots + W_n^{10})}. \quad (44)$$

Furthermore, based on equations (22), (42), (43) and (44), the Sperling index could be obtained. For a high-speed train, the Sperling index is divided into three grades [42], which is excellent when it is smaller than 2.5, good when it is between 2.5 and 2.75, and qualified when it is between 2.75 and 3.

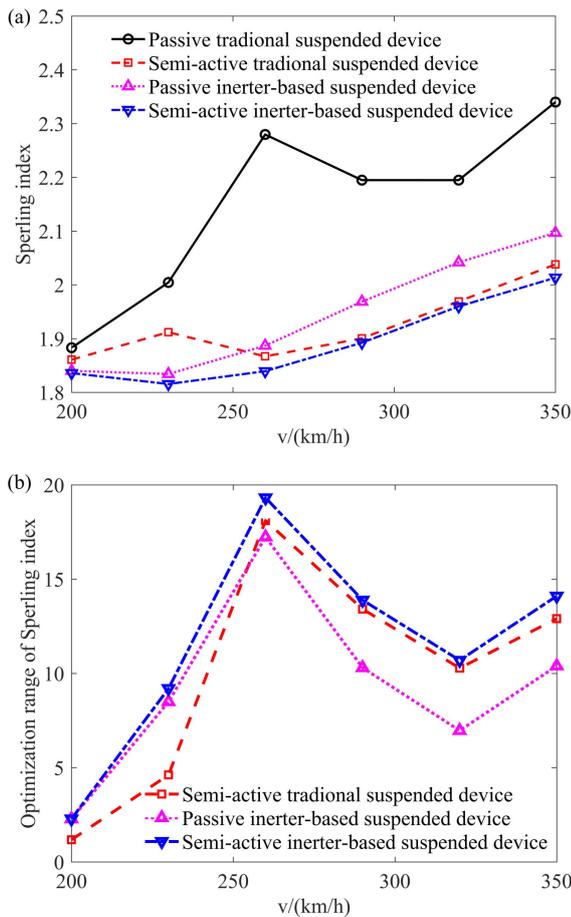
The Sperling index is also taken in the middle of the train body and its changing tendency for four suspended devices with different speed is displayed in Fig. 9(a), while detailed values are shown in Table 5. The optimization range of the Sperling index using the semi-active traditional, passive and semi-active inerter-based suspended devices as compared with the passive traditional one is shown in Fig. 9(b), which is defined as  $[(\text{Sperling index})_{PT} - (\text{Sperling index})_{SAT, PIB, SAIB}] / (\text{Sperling index})_{PT} \times 100\%$ . If the value of the optimization range is the largest, the corresponding suspended device obtains the best running quality.

As shown in Fig. 9(a), the Sperling index for four suspended devices shows an overall upward tendency via increasing the speed, and is smaller than 2.5, with all of them achieving an excellent effect. The Sperling index of the passive inerter-based suspended device is smaller than the passive traditional one, and the corresponding difference between the passive inerter-based and traditional ones experiences an overall upward tendency through increasing the speed, which increases from 2.28% at 200 km/h to 10.4% at 350 km/h. The Sperling index of the semi-active inerter-based suspended device is smaller than that of the passive one, and the difference is smaller in the lower and medium speed ranges while it increases in the larger speed ranges. Furthermore, the Sperling index of the semi-active inerter-based suspended device is generally smaller than that of the semi-active traditional one. As shown in Fig. 9(b), as compared with the passive traditional suspended device, the optimization range of Sperling index using the semi-active inerter-based one is the best among the latter three suspended devices, and it obtains the best running quality.

In general, the Sperling index of the semi-active inerter-based suspended device is the smallest among the four suspended devices. The semi-active inerter-based suspended device could

**Table 5**  
Thorough value of Sperling index for four suspended devices

Sperling index	Speed (km/h)					
	200	230	260	290	320	350
Passive traditional suspended device	1.8830	2.0044	2.2797	2.1948	2.1948	2.3400
Semi-active traditional suspended device	1.8608	1.9119	1.8669	1.9004	1.9691	2.0380
Passive inerter-based suspended device	1.8400	1.8341	1.8868	1.9687	2.0418	2.0967
Semi-active inerter-based suspended device	<b>1.8357</b>	<b>1.8155</b>	<b>1.8393</b>	<b>1.8923</b>	<b>1.9598</b>	<b>2.0133</b>



**Fig. 9.** (a) Sperling index for four suspended devices, (b) optimization range of Sperling index using the latter three suspended devices compared with the passive traditional one

significantly reduce elastic vibration of the train body. The more severe the elastic vibration, the more obvious the vibration attenuation effect of the train body, which is consistent with the results of the vertical vibration acceleration shown in Figs. 4 and 5.

### 5. CONCLUSIONS

A semi-active inerter-based suspended device based on the semi-active inerter and damper is proposed to further enhance dynamic performance of the train body. The LQR control strat-

egy is utilized to adjust the inertance of the semi-active inerter and the damping of the semi-active damper. The rigid-flexible coupling vertical dynamic model of the train body and semi-active inerter-based suspended device is established, dynamic response is obtained using the virtual excitation method, and the dynamic characteristic is evaluated using the Sperling index and compared with those of the other suspended devices. The conclusions are summarized as follows:

1. The vertical vibration acceleration of the train body using the semi-active inerter-based suspended device is the smallest among the four suspended devices, which denotes the advantages of using the inerter and LQR control strategy.
2. The semi-active inerter-based suspended device could decrease the vertical vibration acceleration of the train body and further suppress its elastic vibration significantly in the lower frequency band as compared with the other three ones, while it has little effect in the higher frequency band.
3. The Sperling index of the semi-active inerter-based suspended device is generally the smallest among the four suspended devices, and the vibration attenuation effect is more obvious for more severe elastic vibration.

Overall, the semi-active inerter-based suspended device is a novel design and could further decrease elastic vibration of the train body and improve the dynamic performance more effectively than the other three suspended devices.

### APPENDIX

Mass matrix **M**, damping matrix **C**, stiffness matrix **K**<sub>1</sub> and input excitation matrix **D** defined in equation (9) are given as:

$$\mathbf{M} = \begin{bmatrix} m_b + b & 0 & bY_3(l_3) & bY_4(l_3) & 0 & 0 & 0 & 0 & -b \\ 0 & I_b & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ b/m_b & 0 & 1 + b/m_b & 0 & 0 & 0 & 0 & 0 & -b/m_b \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & m_t & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & I_t & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & m_t & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & I_t & 0 \\ -b & 0 & -bY_3(l_3) & bY_4(l_3) & 0 & 0 & 0 & 0 & m_3 + b \end{bmatrix}, \quad (\text{A1})$$

$$\mathbf{C} = \begin{bmatrix}
 2c_s + c_3 & 0 & (Y_3(l_1) + Y_3(l_2))c_s + Y_3(l_3)c_3 \\
 0 & 2c_s l_b^2 & (Y_3(l_1) - Y_3(l_2))c_s l_b \\
 (Y_3(l_1) + Y_3(l_2))c_s + y_{33}c_3 & (Y_3(l_1) - Y_3(l_2))c_s l_b & (Y_3(l_1)^2 + Y_3(l_2)^2)c_s + c_3 y_{33}^2 + 2x_3 w_3 \\
 (Y_3(l_1) + Y_3(l_2))c_s + y_{33}c_3 & (Y_3(l_1) - Y_3(l_2))c_s l_b & (c_s Y_3(l_1)Y_4(l_1) + c_s Y_4(l_2)Y_3(l_2) + c_3 Y_3(l_3)Y_4(l_3)) \\
 m_b & m_b & m_b \\
 -c_s & -c_s l_b & -Y_3(l_1)c_s \\
 0 & 0 & 0 \\
 -c_s & c_s l_b & -Y_4(l_1)c_s \\
 0 & 0 & 0 \\
 -c_s & 0 & -Y_3(l_3)c_3
 \end{bmatrix}$$
  

$$\begin{bmatrix}
 (Y_4(l_1) + Y_4(l_2))c_s + Y_4(l_3)c_3 & -c_s & 0 & -c_s & 0 & -c_3 \\
 (Y_4(l_1) - Y_4(l_2))c_s l_b & -c_s l_b & 0 & c_s l_b & 0 & 0 \\
 c_s Y_3(l_1)Y_4(l_1) + c_s Y_4(l_2)Y_3(l_2) + c_3 Y_3(l_3)Y_4(l_3) & c_s Y_3(l_1) & 0 & c_s Y_3(l_2) & 0 & c_3 Y_3(l_3) \\
 (Y_4(l_1)^2 + Y_4(l_2)^2)c_s + Y_4(l_3)^2 c_3 & c_s Y_4(l_1) & 0 & c_s Y_4(l_2) & 0 & c_3 Y_4(l_3) \\
 m_b & m_b & 0 & m_b & 0 & m_b \\
 -Y_3(l_2)c_s & c_s Y_3(l_1) & 0 & 0 & 0 & 0 \\
 0 & m_b & 2c_p l_w^2 & 0 & 0 & 0 \\
 -Y_4(l_2)c_s & 0 & 0 & 2c_p l_w^2 & 0 & 0 \\
 0 & 0 & 0 & 0 & 2c_p l_w^2 & 0 \\
 -Y_4(l_3)c_3 & 0 & 0 & 0 & 0 & c_3
 \end{bmatrix}, \tag{A2}$$

$$\mathbf{K}_1 = \begin{bmatrix}
 2k_s + k_3 & 0 & (Y_3(l_1) + Y_3(l_2))k_s + Y_3(l_3)k_3 \\
 0 & 2k_s l_b^2 & (Y_3(l_1) - Y_3(l_2))k_s l_b \\
 (Y_3(l_1) + Y_3(l_2))k_s + Y_3(l_3)k_3 & (Y_3(l_1) - Y_3(l_2))k_s l_b & (y_{31}^2 + y_{32}^2)k_s + k_3 Y_3(l_3)^2 + 2w_3^2 \\
 (Y_3(l_1) + Y_3(l_2))k_s + Y_3(l_3)k_3 & (Y_3(l_1) - Y_3(l_2))k_s l_b & (c_s Y_3(l_1)Y_4(l_1) + c_s Y_4(l_2)Y_3(l_2) + c_3 Y_3(l_3)Y_4(l_3)) \\
 m_b & m_b & m_b \\
 -k_s & -k_s l_b & -Y_3(l_1)k_s \\
 0 & 0 & 0 \\
 -k_s & k_s l_b & -Y_4(l_1)c_s \\
 0 & 0 & 0 \\
 -k_s & 0 & -Y_3(l_3)k_3
 \end{bmatrix}$$
  

$$\begin{bmatrix}
 (Y_4(l_1) + Y_4(l_2))k_s + Y_4(l_3)k_3 & -k_s & 0 & -k_s & 0 & -k_3 \\
 (Y_4(l_1) - Y_4(l_2))k_s l_b & -k_s l_b & 0 & k_s l_b & 0 & 0 \\
 k_s Y_3(l_1)Y_4(l_1) + k_s Y_4(l_2)Y_3(l_2) + k_3 Y_3(l_3)Y_4(l_3) & k_s Y_3(l_1) & 0 & k_s Y_3(l_2) & 0 & k_3 Y_3(l_3) \\
 (Y_4(l_1)^2 + Y_4(l_2)^2)k_s + Y_4(l_3)^2 k_3 & k_s Y_4(l_1) & 0 & k_s Y_4(l_2) & 0 & k_3 Y_4(l_3) \\
 m_b & m_b & 0 & m_b & 0 & m_b \\
 -Y_3(l_2)k_s & k_s + 2k_p & 0 & 0 & 0 & 0 \\
 0 & 0 & 2k_p l_w^2 & 0 & 0 & 0 \\
 -Y_4(l_2)k_s & 0 & 0 & k_s + 2k_p & 0 & 0 \\
 0 & 0 & 0 & 0 & 2k_p l_w^2 & 0 \\
 -Y_4(l_3)k_3 & 0 & 0 & 0 & 0 & k_3
 \end{bmatrix}, \tag{A3}$$

$$\mathbf{D} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ k_p & c_p & k_p & c_p & 0 & 0 & 0 & 0 \\ -k_p l_w & -c_p l_w & k_p l_w & c_p l_w & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & k_p & c_p & k_p & c_p \\ 0 & 0 & 0 & 0 & -k_p l_w & -c_p l_w & k_p l_w & c_p l_w \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}. \quad (\text{A4})$$

When the speed equals 200 km/h and 350 km/h, respectively, the corresponding feedback gain matrix  $\bar{\mathbf{K}}$  is obtained based on equation (32), and is equal to:

$$\bar{\mathbf{K}} = \begin{bmatrix} 1438508 \\ -773.052 \\ -1.5 \times 10^7 \\ 201785.5 \\ 34142.71 \\ -2.16 \times 10^{-11} \\ 38972.66 \\ -3.58 \times 10^{-11} \\ -1412863 \\ 60975.23 \\ -1447.76 \\ -115020 \\ 228.8301 \\ 1550.385 \\ -5.06 \times 10^{-13} \\ -651.536 \\ -2.86 \times 10^{-12} \\ -58097.8 \end{bmatrix}^T, \quad \bar{\mathbf{K}} = \begin{bmatrix} -339416 \\ -20.10 \\ 2321958 \\ 22692 \\ -7845.81 \\ 5.46 \times 10^{-13} \\ -9317.41 \\ -1.32 \times 10^{-12} \\ 66604.88 \\ -53674.12 \\ 89.61 \\ 172432.21 \\ 60.58 \\ -2439.07 \\ 2.21 \times 10^{-15} \\ -2454.62 \\ -8.29 \times 10^{-15} \\ 31107.24 \end{bmatrix}^T. \quad (\text{A5})$$

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