

Opto-Electronics Review

journal homepage: https://journals.pan.pl/opelre



Investigations of optical and thermal properties of surface layers of Cd_{1-x}Be_xTe samples by the photoacoustic method

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Article info	Abstract
<i>Article history:</i> Received 08 Dec. 2023 Received in revised form 22 Mar. 2024 Accepted 15 Apr. 2024 Available on-line 08 May 2024	The work presents the results of a research on the photoacoustic spectra of thin surface layers of $Cd_{1-x}Be_xTe$ crystals formed by grinding and polishing their surfaces. As a result of matching the theoretical and experimental photoacoustic spectra, thermal and optical parameters of these layers were determined. Thermal parameters of the surface layers, such as thermal conductivity and thermal diffusivity, turned out to be much worse than the
<i>Keywords</i> : Thermal parameters; optical parameters; surface layers; photoacoustics.	analogous parameters of the substrate. The increase in the optical absorption of surface layers for photon energies below E_g was also determined.

1. Introduction

AII-BVI mixed semiconductors are interesting as they can be applied in bandgap engineering, i.e., changing the composition of these crystals changes the value of their energy gap. CdTe and CdTe-based mixed crystals, such as Cd_{1-x}Be_xTe or Cd_{1-x}Zn_xTe, are promising materials, especially for high efficiency thin film solar cells, X and γ detectors, and infrared filters.

The surface condition of semiconductor samples has a strong influence on the results of spectroscopic tests and, consequently, on the interpretation of these results. This effect is particularly visible in piezoelectric, photoacoustic, and surface photovoltage spectra. This problem is important because as a result of processing the sample surfaces, several physical parameters of the surface layers change, such as thermal, optical, and recombination parameters. Additionally, a near-surface electric field induced by charged surface levels arises which can also modify the experimental results.

Different measurement methods are applied for investigations of these samples. One group of such methods

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are photothermal methods. One of them is the piezoelectric photothermal (PZE) method and the other is the photo-acoustic (PA) method, and the photopyroelectric method.

The method of measuring PA spectra with microphone detection used in the research presented in this work is very sensitive to the optical and thermal parameters of the tested samples. The basic method used in PA spectroscopy is the method described in Refs. 1 and 2. However, this method can be used for homogeneous single-layer samples assuming that they are thermally thick. The PA method is also used to study the optical absorption coefficient spectra of thin films. Application of this method for thin films of Ge and In₂S₃ deposited on the glass is presented in Refs. 3 and 4. Investigations of thin CdTe films also deposited on the glass are presented in Refs. 5 and 6. Results of PA investigations of CuInSn₂ thin films are presented in Refs. 7-9. Investigations of CuIn_{0.75} Ga_{0.25} Se₂ thin films are presented in Refs. 10-13 and in 14-16. References 17 and 18 present the PA spectral investigations of CuInSe₂ thin films. PA spectral investigations of thin film inorganic materials are shown in Ref. 19. Investigations of thin films of GaInN_xAs_{1-x} and CdO+CdTiO₃ are presented in Refs. 20–22, respectively. PA investigations of CdS_xSe_{1-x} are presented in Ref. 23.

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https://doi.org/10.24425/opelre.2024.150186

It has been observed that the surface preparation process influences the PA PZE spectra as it is presented in Refs. 24 and 25. Application of the PZE method to the study of CdTe crystals is described in Ref. 26.

In this work, PZE spectra of CdTe crystals were interpreted assuming that on the tested sample surface, there is a surface layer with thermal parameters worse than those of the substrate. The influence of the surface condition on PA spectra is presented in Refs. 27–29.

The influence of the surface treatment of CdZnTe crystals on their recombination and structural parameters is presented in Refs. 30–33. The influence of the surface treatment on the optical absorption coefficient of Si and ZnSe crystal samples is presented in Refs. 34, 35, and 36, 37, respectively.

In this work, an attempt has been made to use the PA method with microphone detection to study thermal and optical parameters of the surface layers of $Cd_{1-x}Be_xTe$ crystals from the theoretical analysis of these PA spectra in the model of three-layer samples. The paper presents the results of experimental studies of the PA amplitude and phase spectra of polished and ground $Cd_{1-x}Be_xTe$ samples. The aim of the research was to determine the thermal parameters of the surface layers, as well as their optical parameters.

2. Theoretical model

The schematic diagram of the investigated samples is presented in Fig. 1(a). The samples were described in the three-layer model in Fig. 1(a). Layers 1 and 3 are thin surface layers resulting from various surface treatments of samples. Layer 2 is an un-defected part of the sample. Each layer is described by a set of optical parameters of the optical absorption spectrum for the energy of photons smaller than E_g and the energy of photons larger than E_g , and a set of thermal parameters: thermal conductivity, thermal diffusivity, and thickness of these layers.

The samples were placed in a PA chamber. The PA signal was recorded with a microphone. The experimental



Fig. 1. (a) Three-layer model and (b) total mode configuration used for measurements.

configuration applied is called total. A schematic diagram of the PA cell with the sample is presented in Fig. 1(b). In the experiment, the PA amplitude and phase spectra were measured in the photon energy range from 1.2 eV to 1.8 eV. The light modulation frequency was 128 Hz.

Following the theoretical considerations of the temperature for multilayer systems presented in Refs. 38 and 39, the following formula for the total PA signal was derived.

The total periodical overpressure in the PA chamber is given by the equation:

$$P_t = \frac{T(0) + T(d_t)}{\sigma_g},\tag{1}$$

where T(0) and $T(d_i)$ are the temperatures of the front (illuminated) and back sides of the sample and are described by the expressions:

$$T(0) = M_1 + M_2 - E_1 \exp(-\beta_1 d_1), \qquad (2)$$

$$T(d_t) = M_5 \exp(\sigma_3 d_3) + M_6 \exp(-\sigma_3 d_3) - E_3 \exp(-\beta_3 d_3).$$
(3)

 M_1, M_2, M_5 , and M_6 are solutions of the set of equations:

$$\begin{split} M_1 - M_2 - M_3 &= E_1 \\ M_2 \exp(\sigma_1 d_1) - M_3 \exp(-\sigma_1 d_1) - M_4 - M_5 \\ &= E_1 \exp(-\beta_1 d_1) - E_2 \\ M_4 \exp(\sigma_2 d_2) - M_5 \exp(-\sigma_2 d_2) - M_6 - M_7 \\ &= E_2 \exp(-\beta_2 d_2) - E_3 \\ M_6 \exp(\sigma_3 d_3) - M_7 \exp(-\sigma_3 d_3) - M_8 \\ &= E_3 \exp(-\beta_3 d_3) \\ s_{g1} M_1 - M_2 + M_3 &= \frac{\beta_1}{\sigma_1} E_1 \\ -M_2 \exp(\sigma_1 d_1) + M_3 \exp(-\sigma_1 d_1) + s_{21} M_4 - s_{21} M_5 \\ &= \frac{\beta_1}{\sigma_1} E_1 \exp(-\beta_1 d_1) - \frac{\kappa_2 \beta_2}{\kappa_1 \sigma_1} E_2 \\ -M_4 \exp(\sigma_2 d_2) + M_5 \exp(-\sigma_2 d_2) + s_{32} M_6 - s_{32} M_7 \\ &= \frac{\beta_2}{\sigma_2} E_2 \exp(-\beta_2 d_2) - \frac{\kappa_3 \beta_3}{\kappa_2 \sigma_2} E_3 \\ -M_6 \exp(\sigma_3 d_3) - M_7 \exp(-\sigma_3 d_3) - s_{b3} M_8 \\ &= \frac{\beta_3}{\sigma_3} E_3 \exp(-\beta_3 d_3) \end{split}$$

and

$$E_1 = \frac{\beta_1}{2\kappa_1(\beta_1 - \sigma_1)} \tag{4}$$

$$E_2 = \frac{\beta_2}{2\kappa_2(\beta_2 - \sigma_2)} \exp(-\beta_1 d_1) \tag{5}$$

$$E_3 = \frac{\beta_3}{2\kappa_3(\beta_3 - \sigma_3)} \exp(-\beta_1 d_1 - \beta_2 d_2)$$
(6)

 $\sigma_i = (1+i)\sqrt{\frac{\pi f}{\alpha_i}}$, where *i* is the index of the layer; indexes g, b mean medium in front and back of the sample (the air in this case); f is the frequency; α is the thermal diffusivity; $s_{ij} = \frac{e_i}{e_j}$, where *i*, *j* indicate the layers: g, 1, 2, 3, and b; $e = \sqrt{\kappa \varrho c_p}$ is the thermal effusivity; κ is the thermal conductivity; ρ is the density; c_p is the specific heat of the material; β is the absorption coefficient; d_t is the total thickness of the sample equal to the sum of thicknesses of the layers 1, 2, and 3.

The optical absorption coefficient is described by equation:

$$\begin{cases} \beta_i(E) = \beta_{0i} + A_{1i} \cdot \exp\left(\frac{E - E_g}{\gamma \cdot k \cdot T}\right), & \text{for } E \le E_g\\ \beta_i(E) = A_{0i} \left(E - E_g\right)^{0.5}, & \text{for } E > E_g \end{cases}, (7)$$

where index i = 1, 3 denotes the parameters of the surface layers 1 and 3 and i=2 denotes the parameters of the substrate 2. As a result of matching the theoretical PA amplitude and phase spectra with the experimental PA spectra, the optical and thermal parameters of layers 1 and 3 were determined. Thermal parameters of layer 2, i.e., Cd1-xBexTe substrates were taken from Ref. 40.

They were determined with the photopyroelectric method and they are presented in Table 1.

 Table 1.

 Thermal parameters of the layer 2 Cd_{1-x}Be_xTe.

Crystal composition x	Thermal conductivity κ ₂ (Wm ⁻¹ K ⁻¹)	Thermal diffusivity α ₂ (m²/s)
0.01	4.44	3.64e-6
0.03	2.52	2.11e-6
0.10	2.00	1.59e-6

Let us assume that the thermal parameters of layers 1 and 3 are equal and denoted with index s. Thicknesses of surface layers: $d_s = 2 \mu m$ for polished samples and $d_s = 6 \mu m$ for ground samples. The thickness of layer 2 is $d_2 = 1 \text{ mm}$.

3. Sample preparation

 $Cd_{1-x}Be_xTe$ samples were grown from powder using the high-pressure, high-temperature Bridgeman method. The crystal rods were cut into about 1 mm thick samples which were next mechanically surface-treated. The cut samples were ground with the Al₂O₃ powder, suspended in water, with a grain diameter of 10 µm. Then the samples were polished with diamond paste with grain diameters ranging from 0.1 µm to 1 µm. The samples were next rinsed in water, in ethyl alcohol, and dried.

4. Experimental results

Experimental PA amplitude and phase of the $Cd_{1-x}Be_xTe$ and x = 0.01 polished sample are presented in Fig. 2.



Fig. 2. PA spectra of Cd_{1-x}Be_xTe, x = 0.01, $d_t = 0.128$ cm, polished sample (a) normalized amplitude, (b) phase.

The description of all symbols and lines used in Figs. 2–7 is the following: symbols 1 – diamonds experimental data, line 2 – (blue) PA characteristics for the thermal parameters of thin surface layers the same as those of the bulk and the optical absorption spectra of thin layers are the same as for the bulk and $\beta_{02} = 0$, line 3 – (green) PA characteristics for the thermal parameters of the surface thin layers the same as those of the bulk (layer 2), but the optical absorption spectra of thin layers are increased by β_{0s} , line 4 – (red) the best fitting PA characteristics, line 5 – (magenta) PA characteristics for thermal conductivity of thin surface layers 3.16 times smaller and the thermal diffusivity 10 times smaller in comparison to the parameters obtained for the best fitting.

Based on the matching of theoretical PA spectra with experimental ones, the following parameters of surface layers 1 and 3 were determined: $\kappa_s = 0.41 \text{ Wm}^{-1}\text{K}^{-1}$, $\alpha_s = 3 \cdot 10^{-8} \text{ m}^2/\text{s}$, $\beta_{0s} = 337 \text{ cm}^{-1}$, $A_{1s} = 625 \text{ cm}^{-1}$, $E_g = 1.49 \text{ eV}$.

In the case of PA amplitude spectra [Fig. 2(a)], it can be seen that they mainly change for photon energies below E_g . In this range, the spectra are not very sensitive to the thermal parameters of surface layers but are sensitive to the value of β_{0s} . However, PA phase spectra are mainly sensitive to thermal parameters [Fig. 2(b)]. The best match between theoretical and experimental characteristics was obtained for curve 4 (red). This match was obtained for the case when the thermal conductivity of thin surface layers was 11 times lower than the thermal diffusivity of these layers was as much as 120 times lower than the thermal diffusivity of the substrate. Additionally, a 15-fold increase in the A_{1s} coefficient was found determining the increase in optical absorption in the Urbach tail area for photon energies below E_{g} .

Experimental PA amplitude and phase of the $Cd_{1-x}Be_xTe$ and x = 0.01 ground sample are presented in Fig. 3.

Based on matching of theoretical PA spectra with experimental ones, the following parameters were determined: $\kappa_s = 0.86 \text{ Wm}^{-1}\text{K}^{-1}$, $\alpha_s = 20 \cdot 10^{-8} \text{ m}^2/\text{s}$, $\beta_{0s} = 500 \text{ cm}^{-1}$, $A_{1s} = 655 \text{ cm}^{-1}$, $E_g = 1.49 \text{ eV}$.

Experimental PA amplitude and phase of the $Cd_{1-x}Be_xTe$ polished sample and x = 0.03 are presented in Fig. 4.

Based on the matching of theoretical PA spectra with experimental ones, the following parameters were determined: $\kappa_s = 0.23 \text{ Wm}^{-1}\text{K}^{-1}$, $\alpha_s = 1.7 \cdot 10^{-8} \text{ m}^2/\text{s}$, $\beta_{00s} = 262 \text{ cm}^{-1}$, $A_{1s} = 719 \text{ cm}^{-1}$, $E_g = 1.60 \text{ eV}$.

Experimental PA amplitude and phase of the $Cd_{1-x}Be_xTe$ ground sample and x = 0.03 are presented in Fig. 5.

Based on the matching of theoretical PA spectra with experimental ones, the following parameters were determined: $\kappa_s = 1.3 \text{ Wm}^{-1}\text{K}^{-1}$, $\alpha_s = 42 \cdot 10^{-8} \text{ m}^2/\text{s}$, $\beta_{0s} = 693 \text{ cm}^{-1}$, $A_{1s} = 787 \text{ cm}^{-1}$, $E_g = 1.60 \text{ eV}$.

Experimental PA amplitude and phase of the $Cd_{1-x}Be_xTe$ polished sample and x = 0.1 are presented in Fig. 6.

Based on matching of theoretical PA spectra with experimental ones, the following parameters were determined: $\kappa_s = 0.15 \text{ Wm}^{-1}\text{K}^{-1}$, $\alpha_s = 1.0 \cdot 10^{-8} \text{ m}^2/\text{s}$, $\beta_{0s} = 290 \text{ cm}^{-1}$, $A_{1s} = 8.7 \cdot 10^3 \text{ cm}^{-1}$, $E_g = 1.72 \text{ eV}$.

Experimental PA amplitude and phase of the $Cd_{1-x}Be_xTe$ ground sample and x = 0.1 are presented in Fig. 7.



Fig. 3. PA spectra of $Cd_{1-x}Be_xTe$, x = 0.01, $d_t = 0.122$ cm, ground sample (a) normalized amplitude, (b) phase.



Fig. 4. PA spectra of $Cd_{1-x}Be_xTe$, x = 0.03, $d_t = 0.139$ cm, polished sample (a) normalized amplitude, (b) phase.



Fig. 5. PA spectra of $Cd_{1-x}Be_xTe$, x = 0.03, $d_t = 0.127$ cm, ground sample (a) normalized amplitude, (b) phase.



Fig. 6. PA spectra of Cd_{1-x}Be_xTe, x = 0.1, $d_t = 0.092$ cm, polished sample x = 0.1 (a) normalized amplitude, (b) phase.



Fig. 7. PA spectra of Cd_{1-x}Be_xTe, x = 0.1, $d_t = 0.078$ cm, ground sample (a) normalized amplitude, (b) phase.

Based on matching of theoretical PA spectra with experimental ones, the following parameters were determined: $\kappa_s = 0.38 \text{ Wm}^{-1}\text{K}^{-1}$, $\alpha_s = 13 \cdot 10^{-8} \text{ m}^2/\text{s}$, $\beta_{0s} = 391 \text{ cm}^{-1}$, $A_{1s} = 1 \cdot 10^4 \text{ cm}^{-1}$, $E_g = 1.72 \text{ eV}$.

5. Results

The obtained collected values of thermal and optical parameters of the tested surface layers of investigated samples, resulting from polishing and grinding, are presented in Table 2.

Table 2.				
Thermal and optical parameters of surface layers obtained after				
different treatments of $Cd_{1-x}Be_xTe$ samples with different x				
parameter values.				

x	$\left(\frac{\mathbf{K}_{s}}{\mathbf{M}\mathbf{K}}\right)$	$\begin{array}{c} \alpha_s \cdot 10^{-8} \\ (\mathrm{m}^{2}/\mathrm{s}) \end{array}$	$\frac{\kappa_2}{\kappa_s}$	$\frac{\alpha_2}{\alpha_s}$	$egin{array}{c} eta_{0s} \ (cm^{-1}) \end{array}$	$\frac{A_{1s}}{A_{12}}$			
Polished									
0.01	0.41	3	11	120	337	15			
0.03	0.23	1.7	11	120	262	12			
0.1	0.15	1.0	12	160	290	13			
Ground									
0.01	0.86	20	5.1	18	500	15			
0.03	1.3	42	7.1	30	693	12			
0.1	0.38	13	5.2	12	391	10			

The average uncertainty in determining the thermal parameters of the surface layers is estimated to be about 10%. The main factor influencing this uncertainty is the estimated value of the thickness of the surface layers.

The values of the β_{0s} parameter were verified using sample transmittance measurements. Examples of transmittance spectra of polished samples for x = 0.01 and x = 0.03 are shown in Fig. 8.

6. Conclusions

Studies of $Cd_{1-x}Be_xTe$ mixed crystals for x = 0.01, 0.03, and 0.1, after polishing and grinding, using PA measurement method in the total configuration indicated that it is possible to determine thermal and optical properties of their surface layers.

As a result of theoretical analyses of the amplitude and phase PA spectra, the following parameters of these layers were determined: thermal conductivity κ_s , thermal diffusivity α_s , optical absorption coefficient β_{0s} , and absorption in the Urbach tail A_{1s} .

It was found out that for polished samples, the thermal conductivity decreased approximately 11 times and the thermal diffusivity decreased approximately 120 times. The optical absorption coefficient β_{0s} increased from 0 to about 300 cm⁻¹ and the Urbach tail coefficient A_{1s} increased on average about 12 times. These parameters illustrate the degree of changes in the properties of the above parameters in the thin polished layer in relation to the substrate.

In the case of surface layers formed as a result of grinding, the thermal conductivity decreased by approxi-



Fig. 8. Transmittance spectra of polished Cd_{1-x}Be_xTe samples (a) x = 0.01, (b) x = 0.03. Squares – experimental results, blue line – theoretical transmittance curve for (a) $\beta_{0s} = 337 \text{ cm}^{-1} A_{1s} = 655 \text{ cm}^{-1}$, $E_g = 1.49 \text{ eV}$, $d_t = 0.128 \text{ cm}$, and (b) $\beta_{0s} = 262 \text{ cm}^{-1} A_{1cs} = 719 \text{ cm}^{-1}$, $E_g = 1.60 \text{ eV}$, $d_t = 0.127 \text{ cm}$.

mately 6 times, and the thermal diffusivity decreased by approximately 20 times. The optical absorption coefficient β_{0s} increased from 0 to about 500 cm⁻¹ and the Urbach tail coefficient increased on average by about 12 times.

The main conclusion that can be drawn is that it is not possible to match the theoretical characteristics with experimental ones by assuming only the increase of the optical absorption of the surface layers. To get the best match, the decrease of thermal parameters of the surface layers respective to the substrate must be also assumed.

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